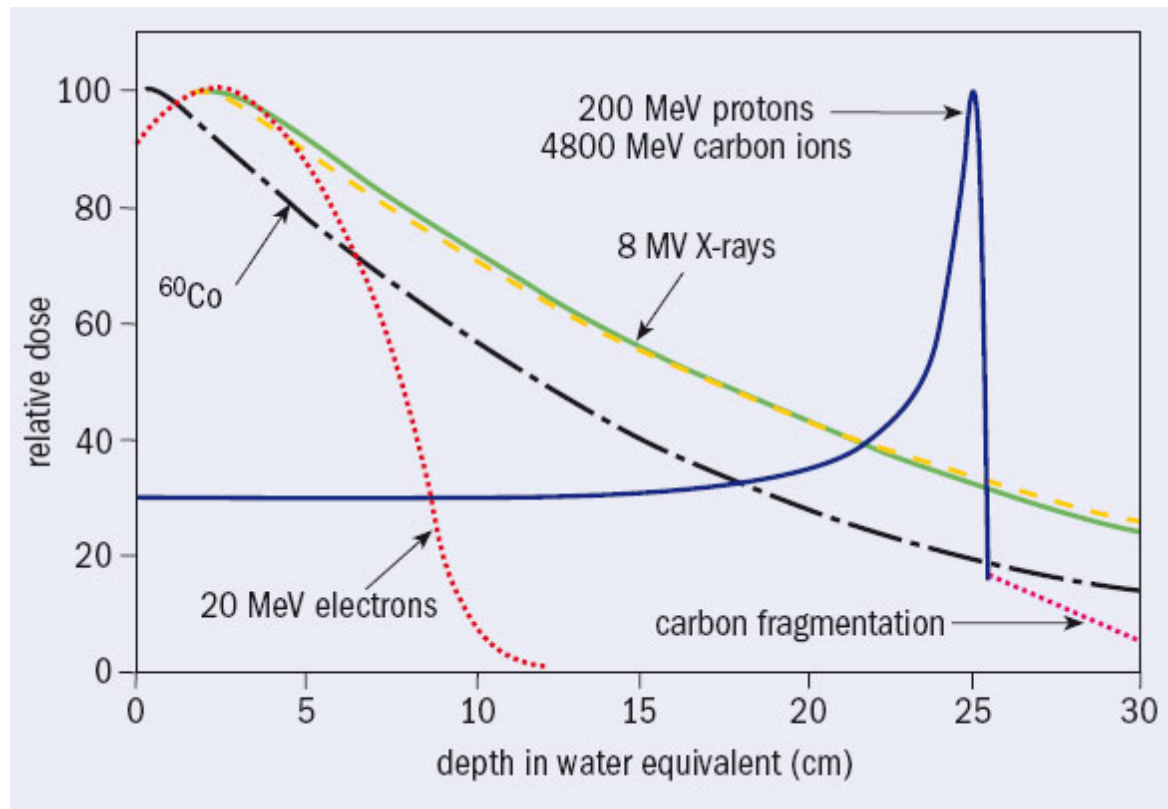


Proton Calorimetry: from SuperNEMO to Proton Therapy

Simon Jolly, Ruben Saakyan,
Anastasia Basharina-Freshville,
Laurent Kelleter
University College London

- Unlike X-rays, charged particles stop!
- Electrons, being lighter, scatter and spread out.
- Protons deposit most dose at the *end* of their path: the *Bragg Peak*.

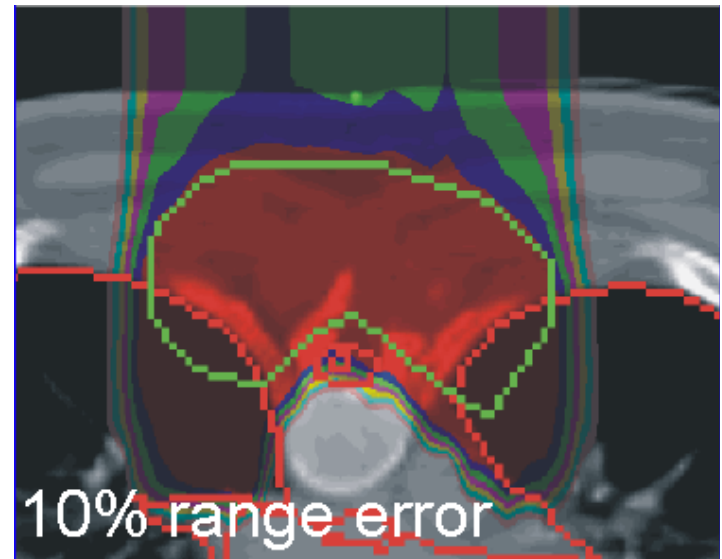
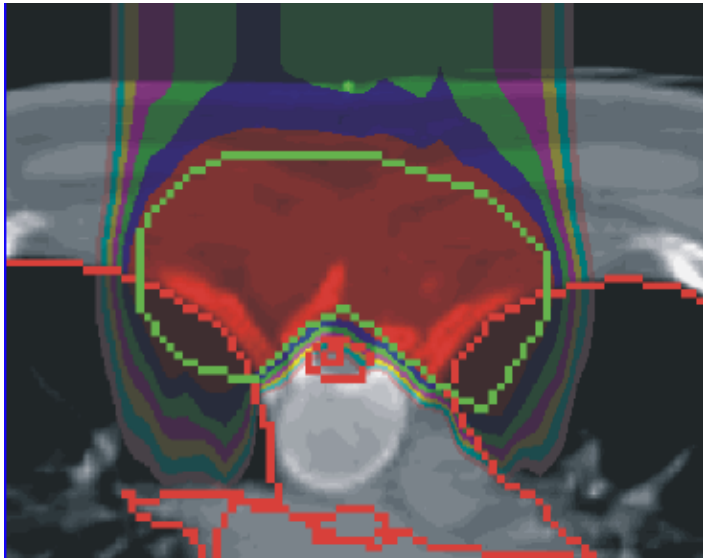


- This property is both the advantage and the disadvantage of proton therapy.
- Protons stop, but you need to know where...

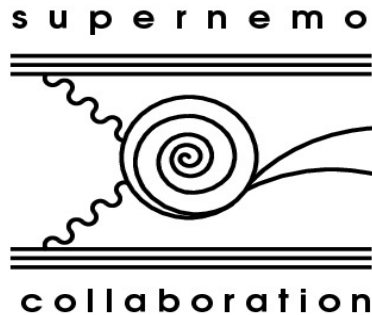
- A range of QA checks are necessary for safe PBT treatment:
 - Daily.
 - Weekly.
 - Monthly.
- Daily checks carried out before treatment:
 - Most time spent verifying range is correct for given energy.
- These range QA checks can take more than an hour for a few measurements.
- Equipment is bulky and slow (setup or measurement).
- A better detector should make the same measurements more quickly and more accurately...



- Requires an **increase in imaging resolution** compared to X-ray based systems due to localisation of proton dose delivery
- Currently use a **conversion factor** to convert from X-Ray to proton therapy treatment plans → **imprecision and range uncertainty**
- Currently, the **patient** is **imaged away** from the **treatment** – any movement of the patient's anatomy introduces further **imprecision**

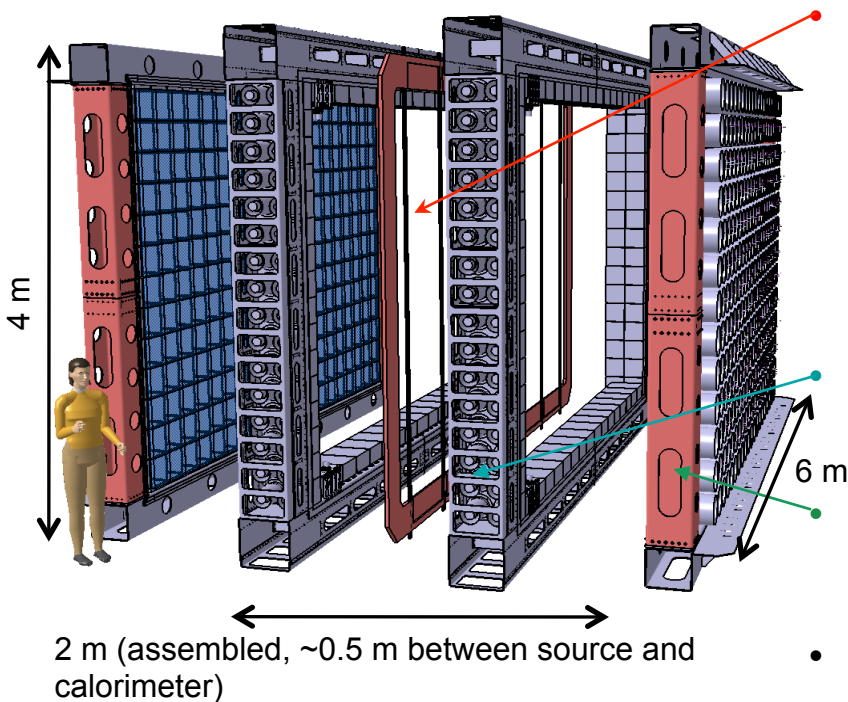


- Calorimetry approach for measuring range for QA:
 - Measure energy and convert to range using a single scintillator + PMT
 - Measure range directly using a segmented scintillator with a readout on every slice
 - Both methods aim to reduce QA times to 2-3 minutes, are affordable and can have short setup times
- Proton imaging:
 - Image with > 300 MeV proton beam, which will emerge from the body without significant energy deposition
 - Tomography approach:
 - A series of tracking layers upstream and downstream of the patient
 - Accurate calorimeter for energy measurements
 - Target energy resolution: $\sim 1\% \sigma$ for 300 MeV imaging protons



- **Neutrinoless double beta decay** detector using NEMO3's **tracker-calorimeter** technique
Target sensitivity: $T_{1/2} > 10^{26}$ years $\rightarrow \langle m_{\nu} \rangle < 0.04 - 0.1$ eV
- **Modular** detector with a planar geometry

1 module (of 20) consists of:



Source foil:

- **5 kg** (total of 100 kg) of 40 mg/cm² (4 x 2.7 m²)
- **⁸²Se** (high $Q_{\beta\beta}$, long $T_{1/2}^{2\nu\beta\beta}$, proven enrichment technology): starting baseline
- ¹⁵⁰Nd and ⁴⁸Ca being considered depending on enrichment possibilities

Tracker: ~2000 drift cells in Geiger mode

\rightarrow particle identification (for background suppression)

Calorimeter: ~550 scintillator blocks + PMTs

\rightarrow energy and time of flight measurements of particles

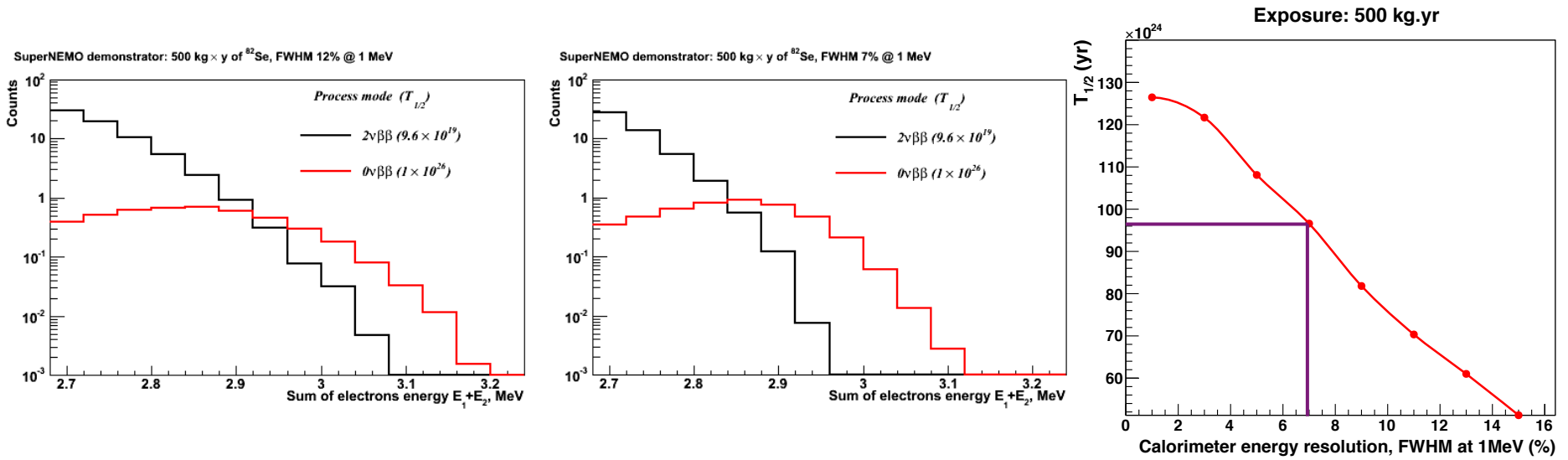
- **Passive shielding** surrounding each module

From NEMO3 to SuperNEMO

- Energy resolution is one of the main challenges (factor of 2 improvement):

$$\text{NEMO3: } \frac{6-7\%}{\sqrt{E}(\text{MeV})} \longrightarrow \text{SuperNEMO: } \frac{3\%}{\sqrt{E}(\text{MeV})}$$

- SuperNEMO scintillator has to be **organic plastic scintillator** (high light yield, low electron back-scattering, high radiopurity, fast timing)
→ Can 3% σ at 1 MeV be reached for organic solid plastic scintillator?
- First step in SuperNEMO R&D: secured STFC funding for energy resolution R&D



$$\frac{\Delta E}{E} = \frac{2.35\sigma}{E} = \frac{2.35}{\sqrt{N_{pe}}}$$



Three experimental objectives:

$$\left(\frac{N_{ph}}{E_e}\right) \cdot \epsilon_{col}^{light} \cdot (QE^{PMT} \cdot \epsilon_{col}^{PMT}) = N_{pe}$$

↑
scintillator light output

Physically translates to:

- **Scintillator**: material, surface treatment, geometry
- **Reflector**: material, reflectivity coefficient, specular/diffusive
- **Optical coupling quality**: material, geometry, light guides
- **Photomultiplier Tubes (PMTs)**: quantum efficiency (QE), collection efficiency, gain of the first dynode

Combined in an “**optical module**”:

scintillator wrapped in reflective material coupled to a PMT

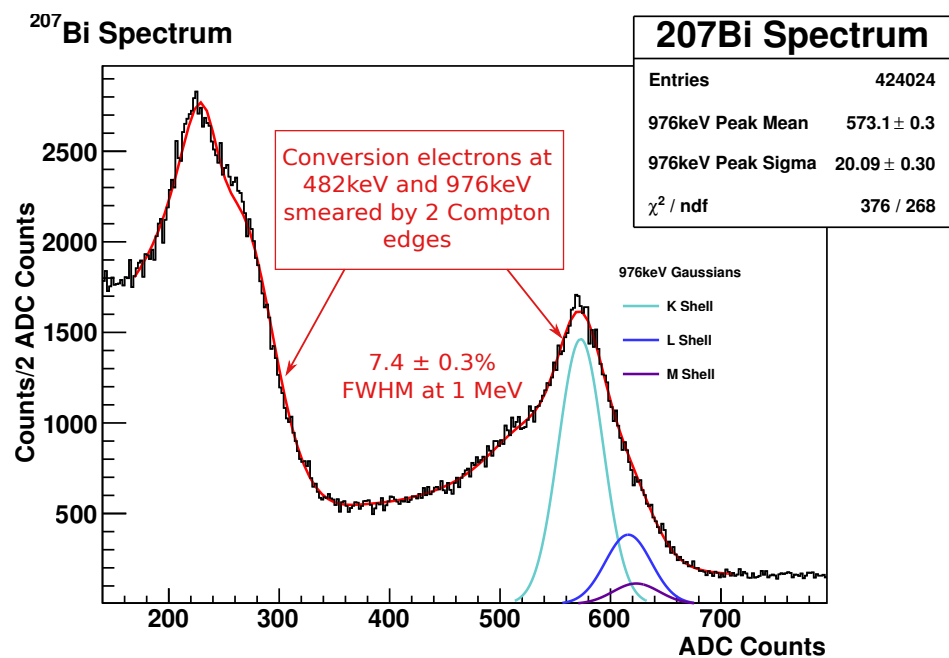
σ	sigma of distribution
E	mean of distribution
N_{pe}	number of photo-electrons
N_{ph}/E_e	number of photons per unit energy
ϵ_{col}^{light}	light collection efficiency
QE^{PMT}	quantum efficiency of the photo-cathode
ϵ_{col}^{PMT}	PMT collection efficiency

SuperNEMO Calorimeter Test Bench

Excite scintillator with a **monochromatic electron source** (approximates the delta function) → any **smearing** of distribution is due to **detector properties**

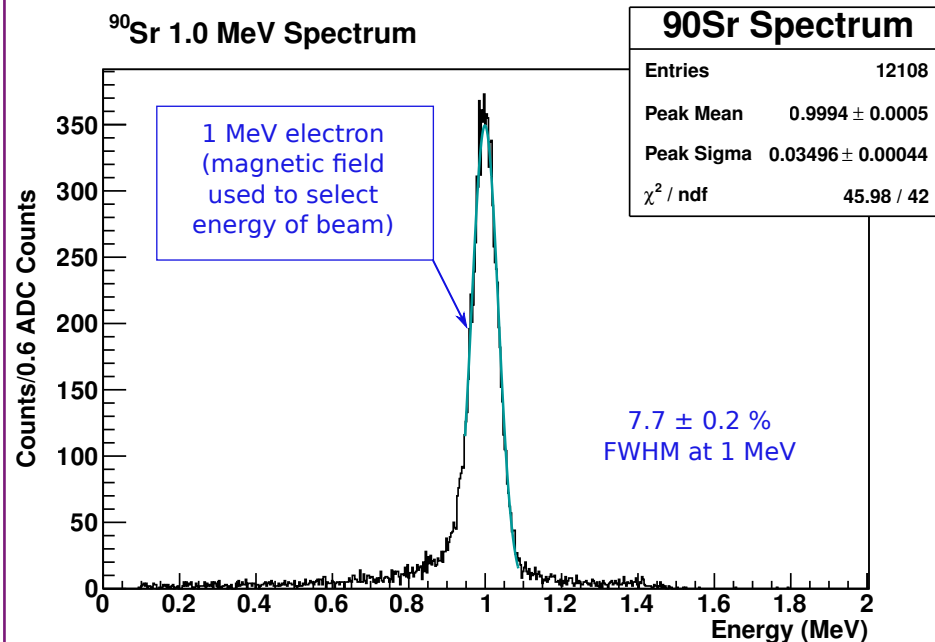
UCL:

- ^{207}Bi source: 976 keV and 482 keV K-shell conversion electrons
- Fit: deconvolution of X-rays, γ s, L-shell and M-shell conversion electrons

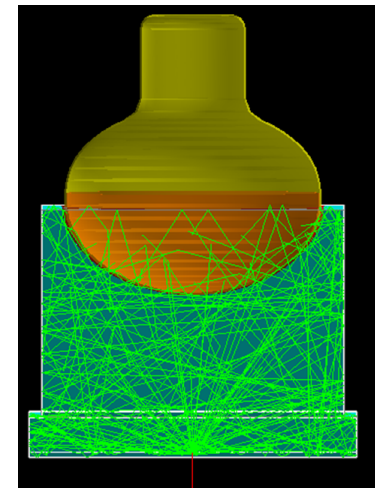
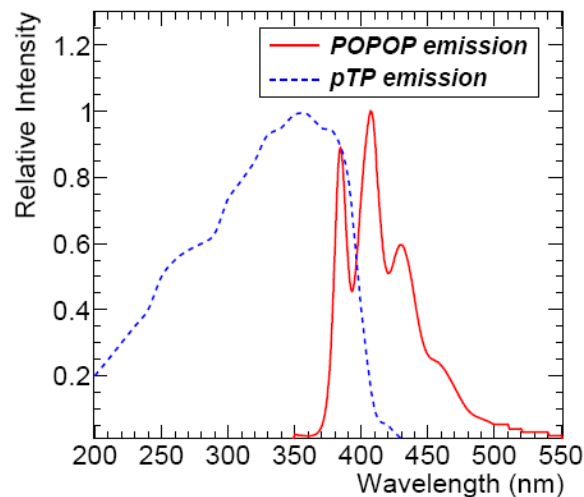
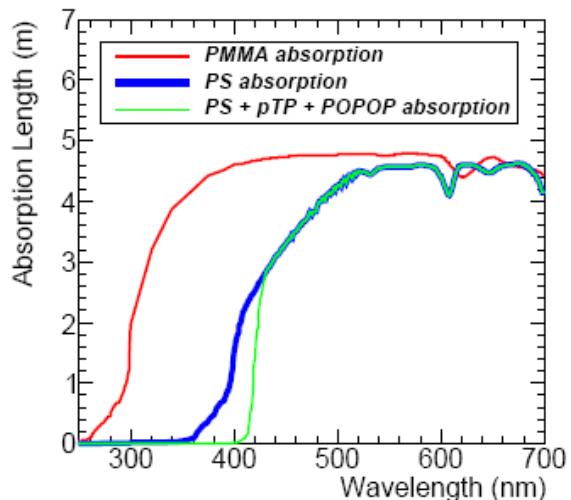


Bordeaux:

- ^{90}Sr spectrometer: ^{90}Sr beam passed through a magnetic field to select monochromatic electrons of known energy
- Fit: Gaussian

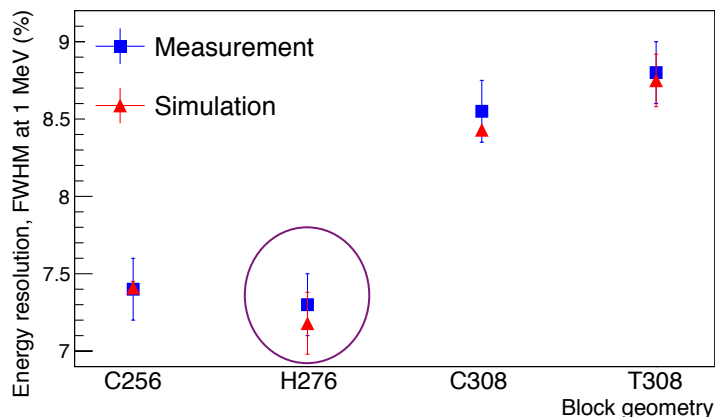


- Full **calorimeter** simulations:
 - GENBB event generator
 - Physics simulations with GEANT4 (optical photon transport in scintillator detectors)
- The model accounts for **wavelength dependence** of optical properties, all of which have been **experimentally measured**, of the:
 - scintillators (self absorption and re-emission)
 - reflective wrappings
 - photomultipliers (QE)
 - optical coupling materials
 - refractive index of optical materials



- For further details see: “Spectral modeling of scintillator for the NEMO-3 and SuperNEMO detectors” <https://doi.org/10.1016/j.nima.2010.09.027>

- Block **shape** studies:



C256: cubic 256² x 190 mm²
H276: hexagonal 276 mm diameter
 with 12 mm minimum depth
C308: cubic 308² x 190mm²
T308: cubic 308² x 190mm² with tapered sides

- Material:** polystyrene (PST) vs. polyvinyl toluene (PVT)

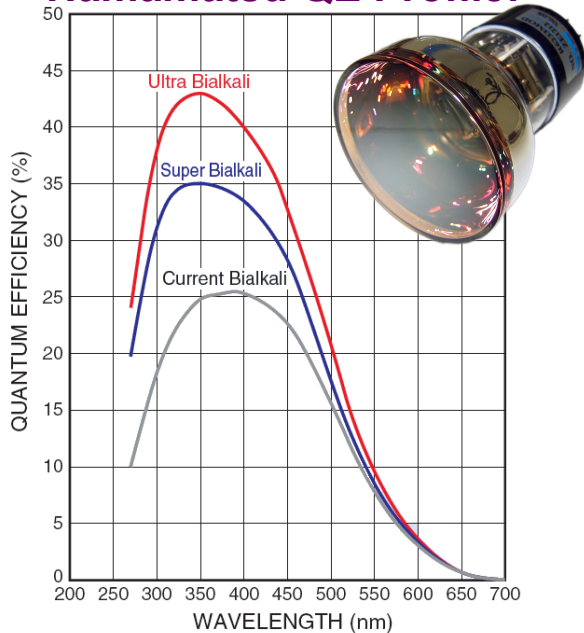
Material	$\Delta E/E(\%)$	f_{FWHM}
JINR NEMO-3 PS	8.9 ± 0.2	1
Eljen-200 PVT	8.3 ± 0.2	1.07 ± 0.03
Eljen-204 PVT	7.8 ± 0.2	1.14 ± 0.03

Material	$\Delta E/E(\%)$	f_{FWHM}
NUVIA PS	7.9 ± 0.2	1
Enhanced NUVIA PS	7.6 ± 0.2	1.04 ± 0.03

- Close collaboration with manufacturers (JINR Dubna, ISM Kharkiv, NUVIA, ELJEN) for contents of:
 - PPO scintillating agent
 - POPOP wavelength shifter
- Surface finishing:** polished vs. depolished
 - All surfaces depolished (machine finish), with the face with the hemispherical cutout polished

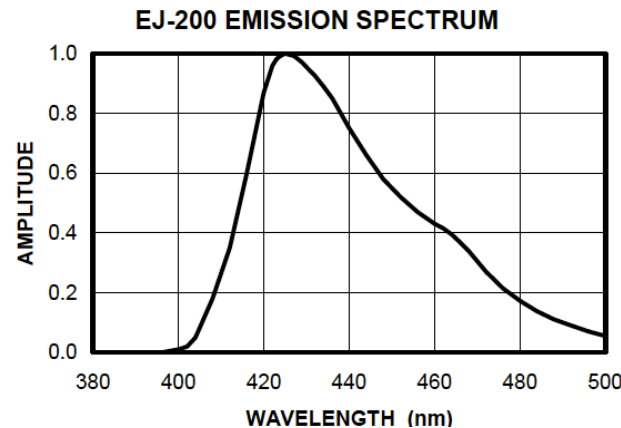
- Photocathode QE:

- Hamamatsu QE Profile:



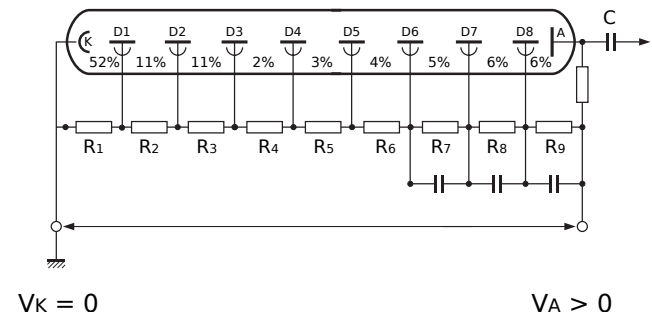
- Bi-alkali alloy development for photocathode material has achieved $QE > 40\%$

- Selection of PMT to optimise QE to the emission spectra of the scintillator



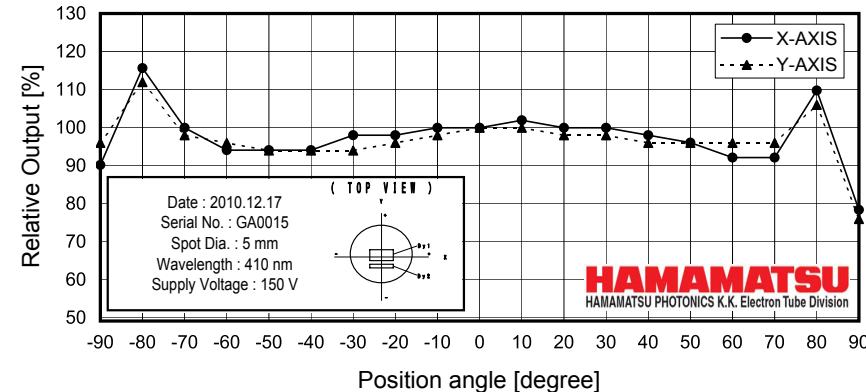
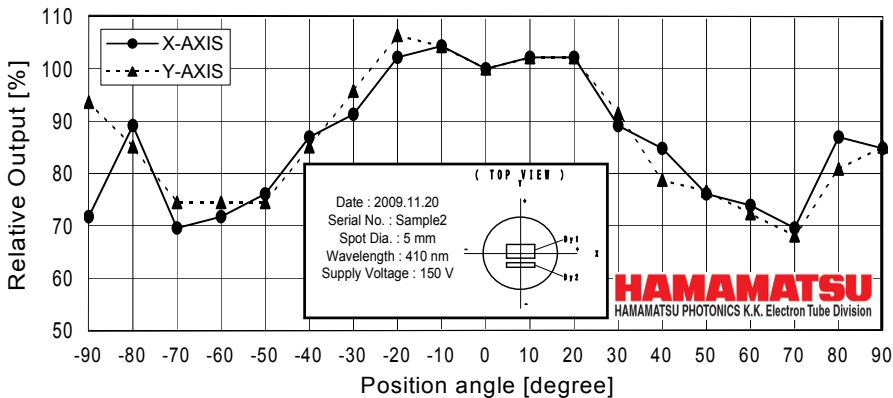
- Collection efficiency (close collaboration with Hamamatsu on 8" R5912-MOD tube):

- Number of dynode stages reduced from 10 to 8
- Voltage divider optimisation
- Improved from $<70\%$ to $\sim 80\%$



- **Photocathode uniformity:**

- Close collaboration with Hamamatsu to improve photocathode uniformity across the entire surface of the **R5912-MOD** PMT



- **Timing:**

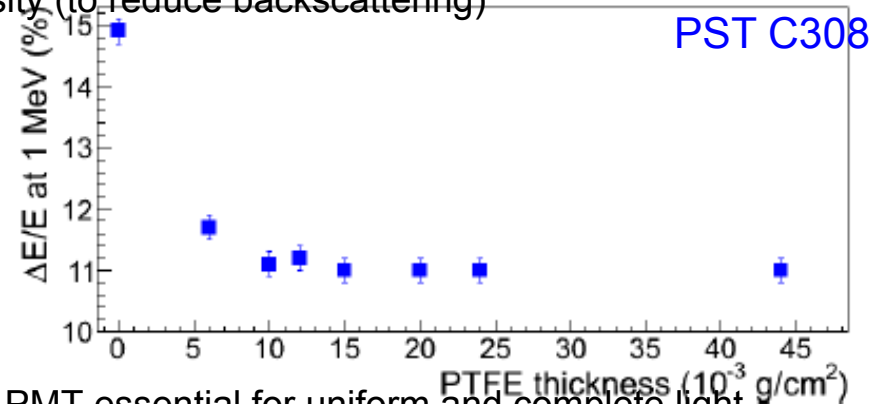
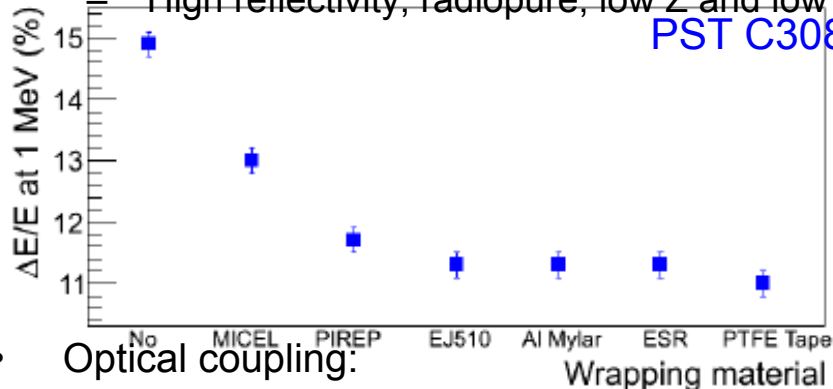
- Reducing the number of dynode stages improves the timing of the PMT by reducing the time transition spread (TTS)

- **Gain and Linearity** (a big achievement!):

- Reducing the number of dynode stages and optimising the voltage divider **decreases** the **gain**: $\sim 1 \times 10^5$
→ **Good linearity** (< 2% for very high light levels – 50 mA peak current) whilst good gain of the 1st dynode and therefore high collection efficiency

- Reflective material:

High reflectivity, radiopure, low Z and low density (to reduce backscattering)



- Optical coupling:

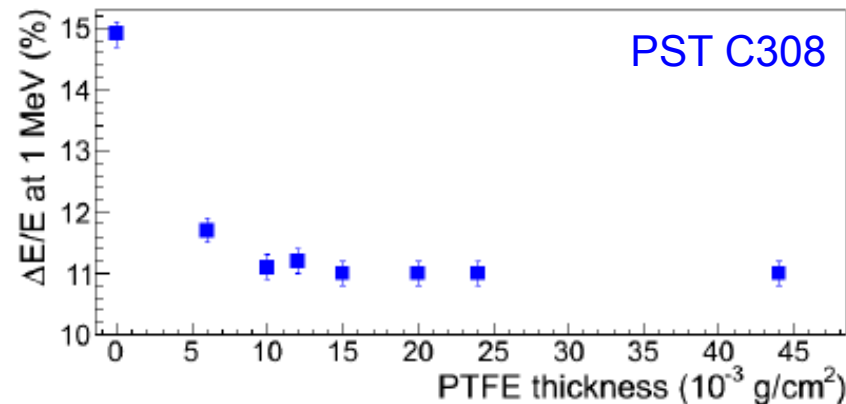
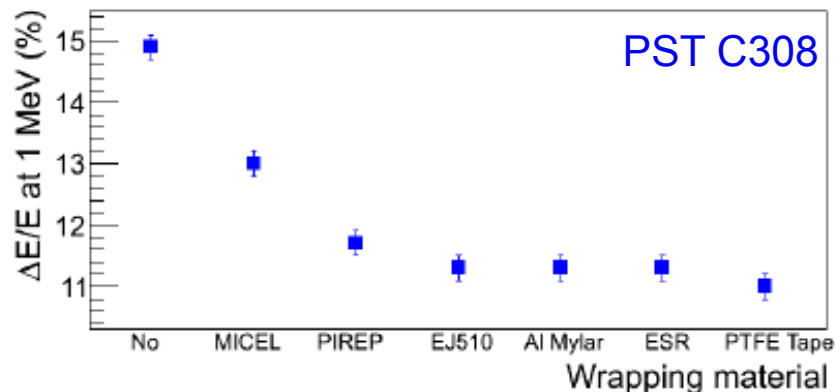
- Good optical coupling between scintillator and PMT essential for uniform and complete light collection

Optical Material	Refractive Index	$\Delta E/E(\%)$	f_{FWHM}
Isopropanol alcohol	1.37	9.4 ± 0.2	1
Cargille gel	1.46	8.6 ± 0.2	1.09 ± 0.04
Cargille gel	1.52	8.4 ± 0.2	1.12 ± 0.04
RTV 615	1.41	9.4 ± 0.2	1.00 ± 0.03

- Direct α resolutic

in energy

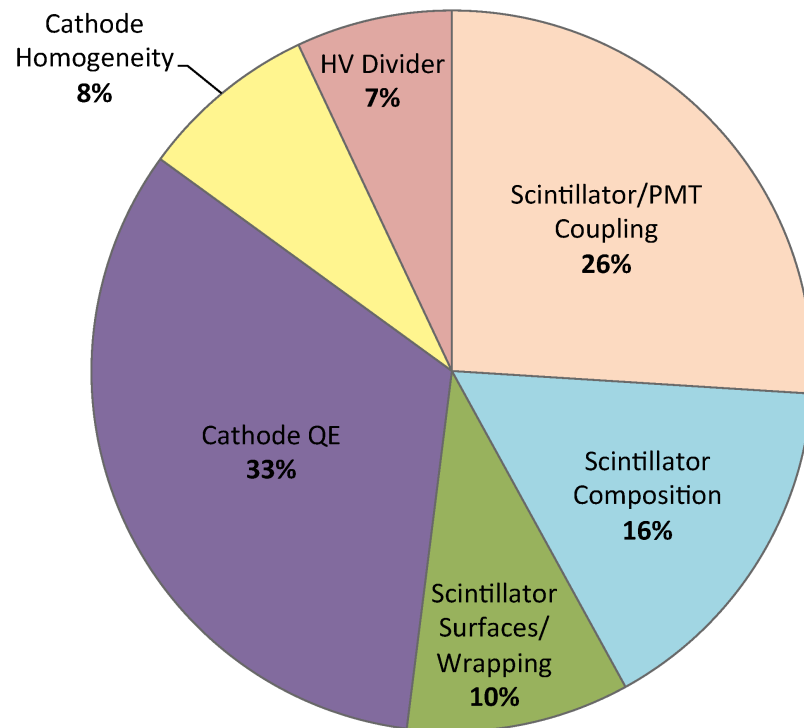
- Reflective material:** High reflectivity, radiopure, low Z and low density (to reduce backscattering)



- Optical coupling:**
 - Good optical coupling between scintillator and PMT essential for uniform and complete light collection

Optical Material	Refractive Index	$\Delta E/E(\%)$	f_{FWHM}
Isopropanol alcohol	1.37	9.4 ± 0.2	1
Cargille gel	1.46	8.6 ± 0.2	1.09 ± 0.04
Cargille gel	1.52	8.4 ± 0.2	1.12 ± 0.04
RTV 615	1.41	9.4 ± 0.2	1.00 ± 0.03

- Direct coupling** of **PMT** to **hemispherical cutout** in scintillator gave the biggest impact in energy resolution improvement.



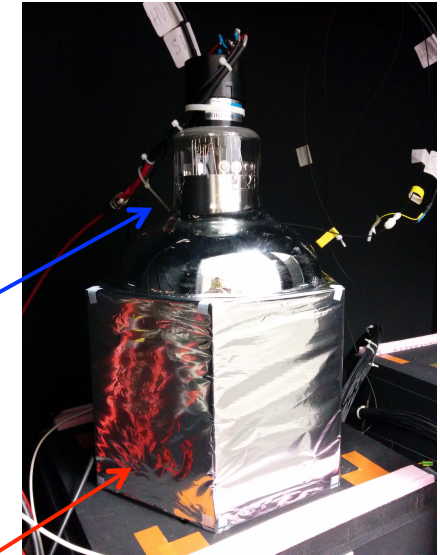
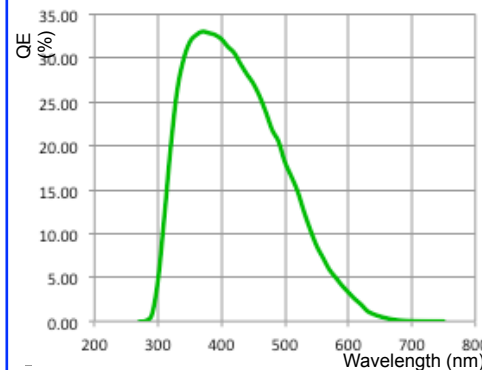
- For further details, see:
“Calorimeter development for the SuperNEMO double beta decay experiment”
<https://doi.org/10.1016/j.nima.2017.06.044>

EJ-200 hexagonal PVT block:

276 mm diameter
193 mm deep, minimum thickness
between PMT and scintillator:
100 mm

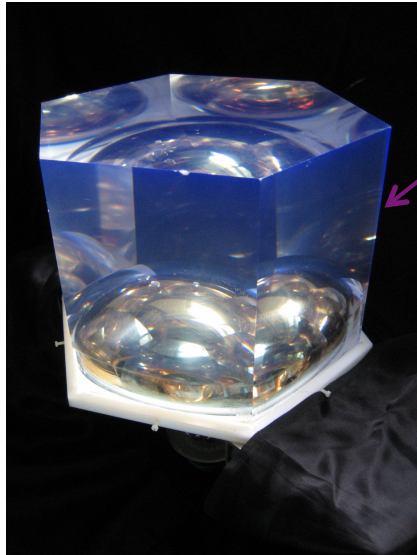
R5912-MOD Hamamatsu 8" PMT:

Maximum quoted QE: 33%
32% QE at 400 nm



Wrapping:

Sides: 75 μm of PTFE (Teflon) ribbon
Sides and entrance face: 12 μm of Mylar



$$\frac{3.2\%(\sigma)}{\sqrt{E}(\text{MeV})}$$

- With this fantastic energy resolution of **3.2% σ at 1 MeV** can we apply the SuperNEMO optical module technology to proton therapy beam monitoring and proton imaging?

- **Challenges:** from SuperNEMO (**electrons**) to a **proton** beam

- Very **high intensity** of events at a **proton beam** (~10 GHz):
 - Random number of protons per bucket from beam, we require **1 proton per bucket**
 - Pile up!

- **Scintillator quenching** for **protons**:

- For a plastic scintillator, the **scintillator response** is **nonlinear** with the amount of energy deposited in it
- Amount of deviation \rightarrow “quenching”
- Characterised by Birk’s law:

$$\frac{dY}{dx} = \frac{S}{1 + kB(dE/dx)} \times \frac{dE}{dx}$$

dY/dx	light yield per unit path length
dE/dx	energy lost by particle per unit path length
kB	relates density of ionisation to energy loss = 0.207 mm/MeV
S	absolute scintillation efficiency

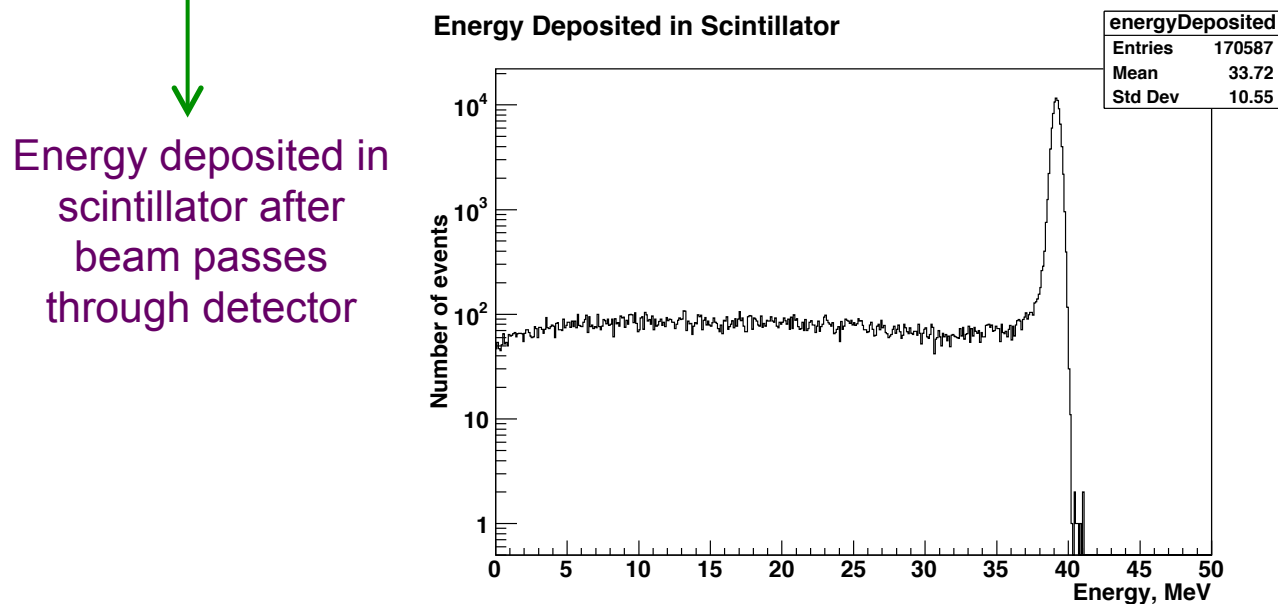
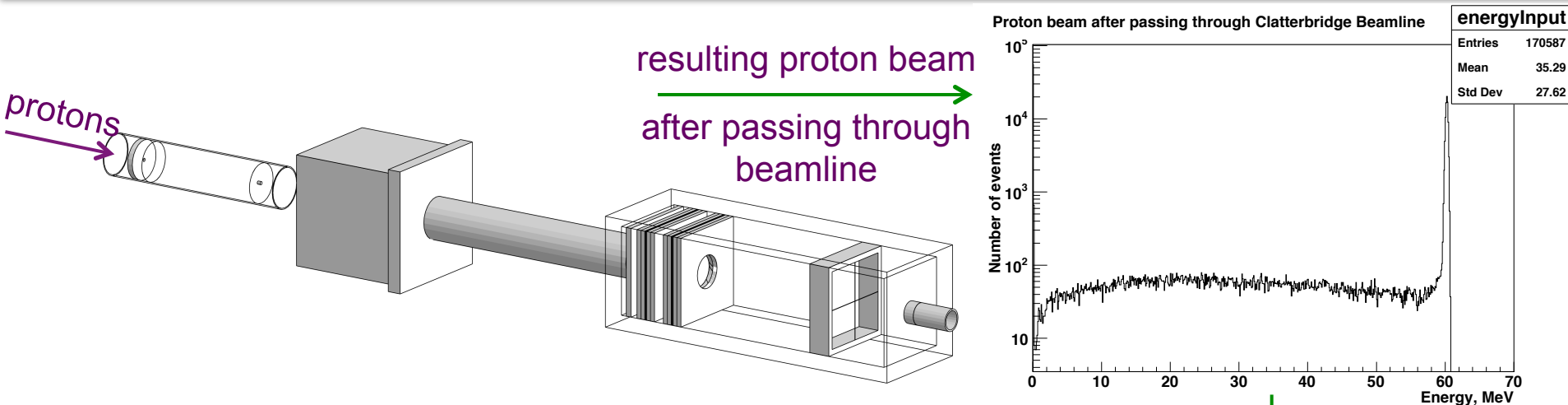
- Becomes important for **large dE/dx** and **ionisation density** \rightarrow important for protons, which have a large dE/dx when they slow down

- **Energy range:**

- **SuperNEMO** optimised for electrons from **0.5 – 4 MeV** for double beta decay
- For **proton therapy** we require **~O(100 MeV)**

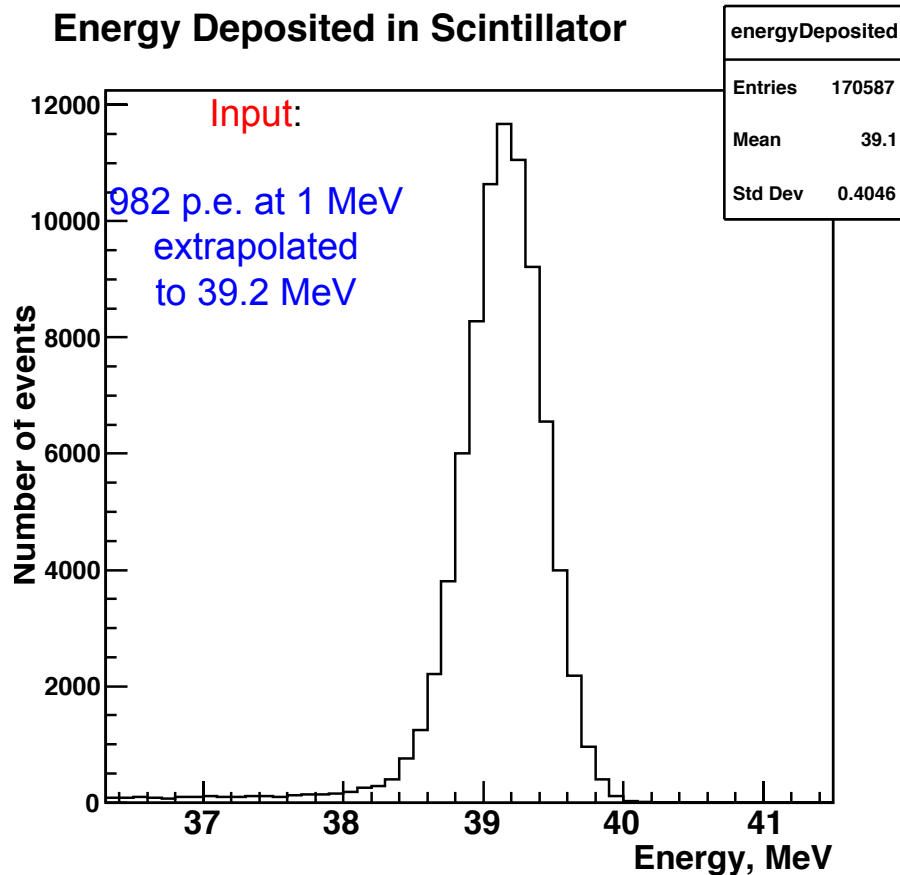
- A 60 MeV proton beam simulated, positioned 30 cm before the entrance face of the scintillator block
 - Proton beam has been run through the Clatterbridge beamline
- Scintillator geometry and composition fully described
- Quenching of scintillation light in plastic scintillator for protons
- Energy deposited smeared according to Poissonian fluctuations in the number of generated photo-electrons
- The number of photo-electrons per MeV taken from test bench data (SuperNEMO calorimeter R&D): 982 photo-electrons per MeV (for an energy resolution of 3.2% σ at 1MeV).

Step 1: Geant4 Simulations



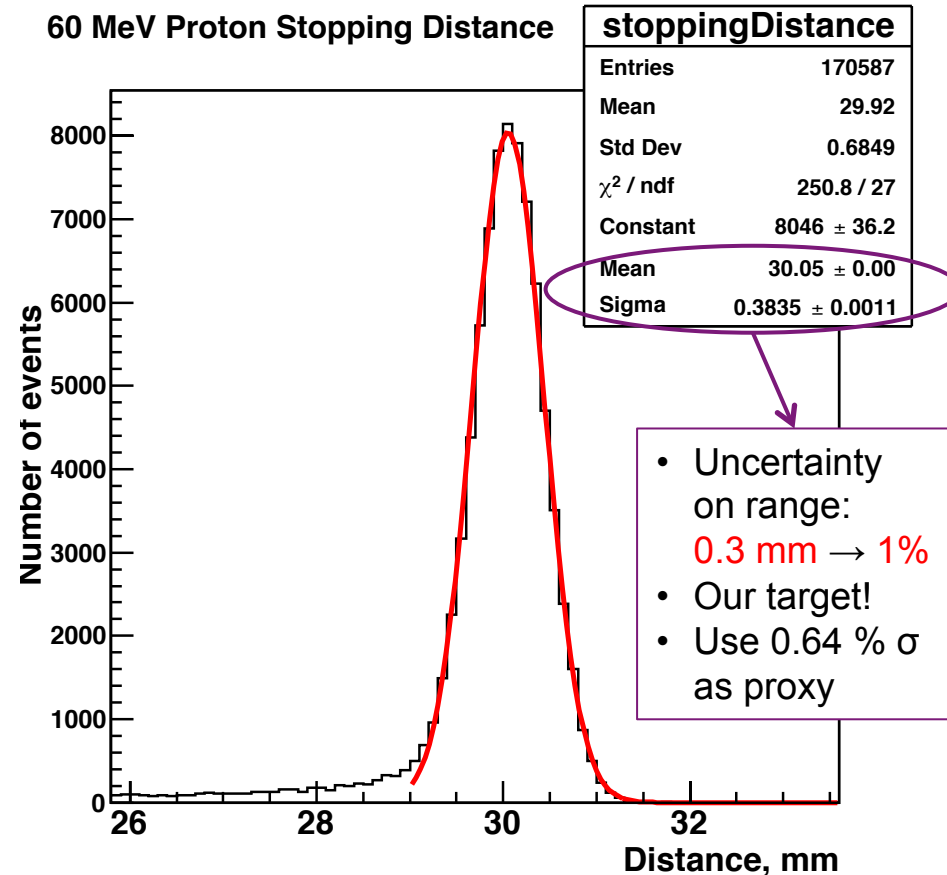
Step 1: Geant4 Simulations

Energy Deposited in Scintillator



- **Quenching** from simulations:
 - Simulated mean: 39.2 MeV
 - Quenching: **35% for 60 MeV protons**

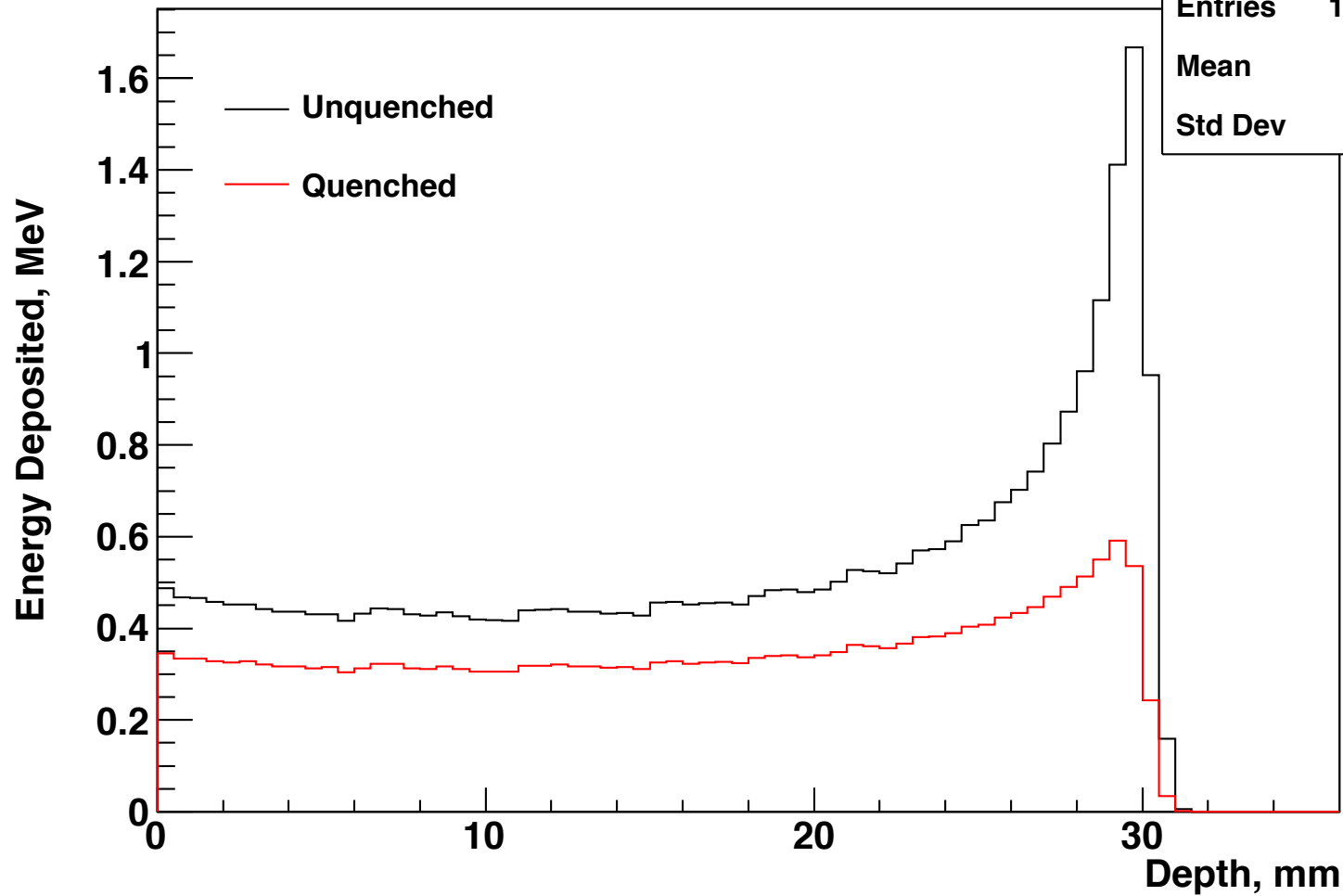
60 MeV Proton Stopping Distance



- **Energy resolution** from simulations:
 - σ : 0.252, μ : 39.21
 - **σ/E : 0.64 % σ**

Step 1: Geant4 Simulations

Energy Deposited as a Function of Depth



braggPeak	
Entries	1937946
Mean	17.79
Std Dev	9.402

60 MeV protons **range out** at **30 mm** in
the scintillator

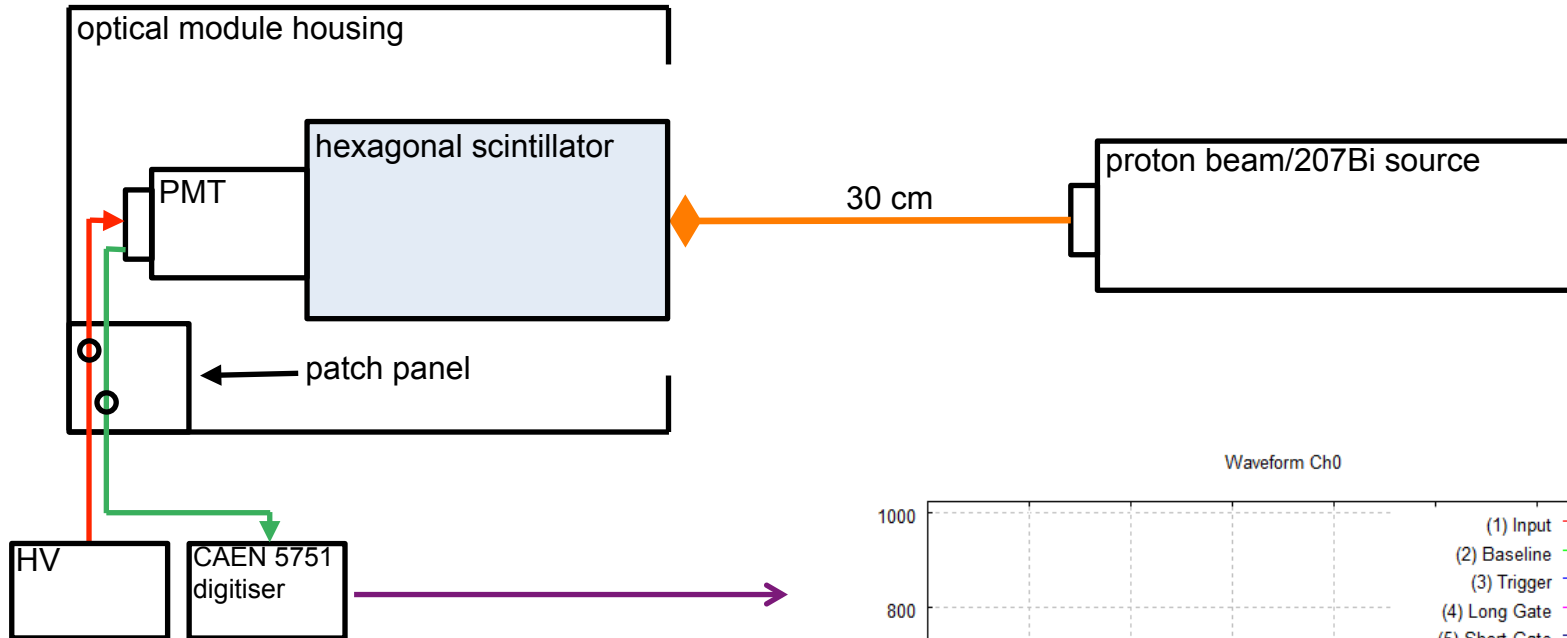
Simulated Stopping Distance

- Simulations of **SuperNEMO scintillator** vs **Water Equivalent**:

Proton Beam Energy, MeV	Mean stopping distance, SCINT (mm)	Mean stopping distance, WATER (mm)	σ stopping distance, SCINT (mm)	σ stopping distance, WATER (mm)
60	30.21	30.54	0.33	0.33
200	255.4	257.1	2.48	2.44
300	505.9	509.9	4.64	4.78

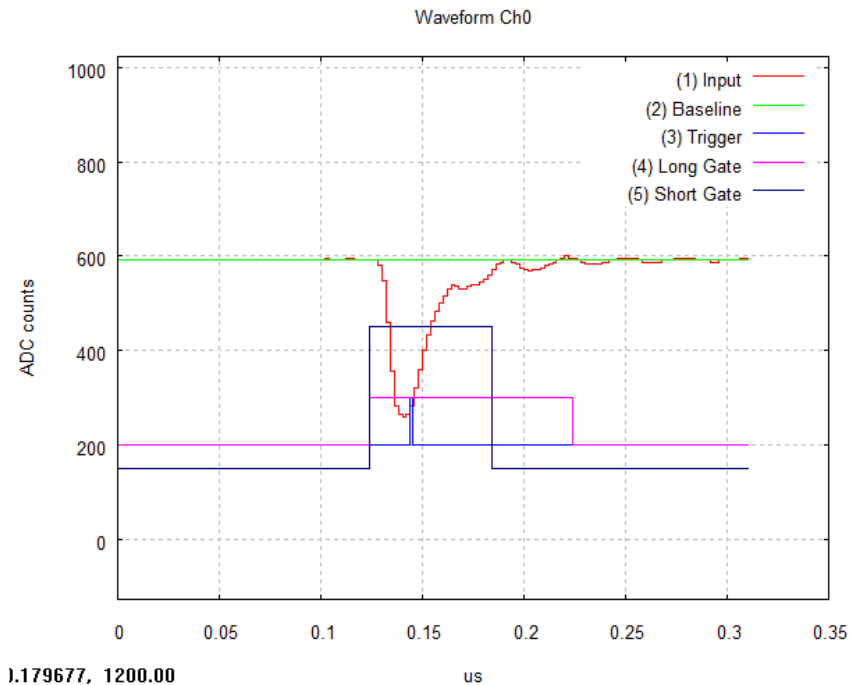
- PolyVinyl Toluene is “water equivalent” for stopping distance and spread, as is Polystyrene.
- One to one conversion for water phantoms.
- We can take advantage of this for range QA measurements: water equivalent + high light output + excellent energy resolution

Step 2: Equipment Setup



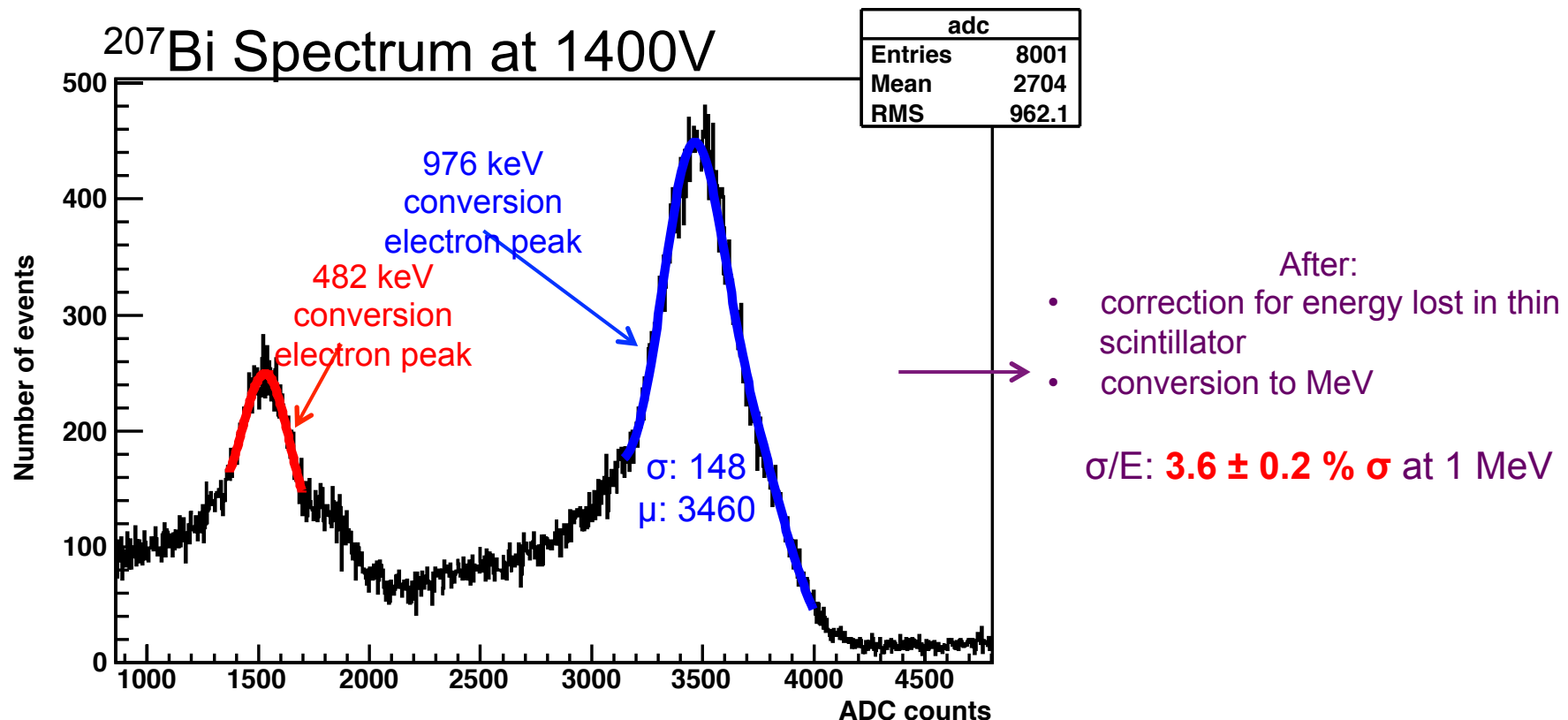
CAEN DT5751 Digitiser:

Dual-gate signal integration
→ Pulse shape analysis
→ Neutron/gamma
discrimination



Step 3: ^{207}Bi Test at UCL

- Optical module resurrected after some years: re-measure energy resolution!
 - New test bench at UCL: a thin scintillator introduced into set up, which triggers DAQ only when an electron passes through it
 - Gammas removed, fit simplified to **triple Gaussian** of 976 keV and 482 keV peaks



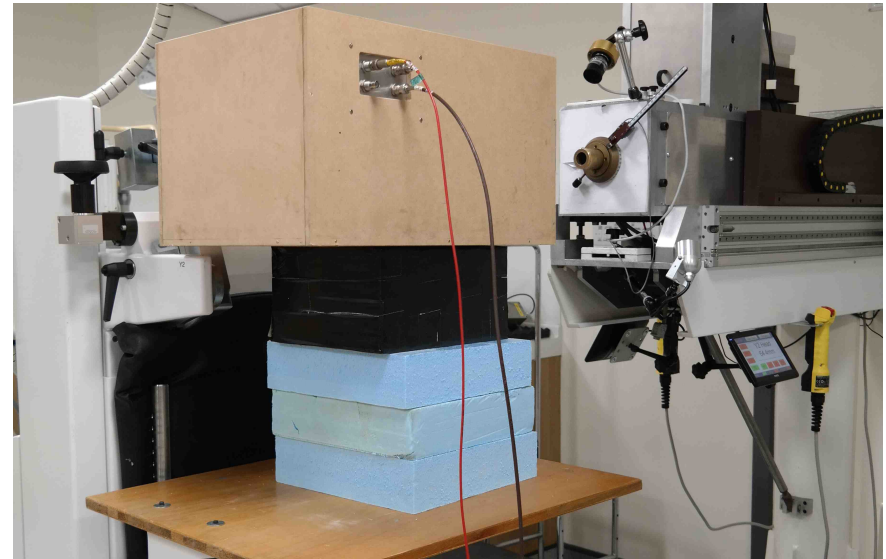
Step 4: Clatterbridge Cancer Centre

- 62 MeV Scanditronix cyclotron provides 60 MeV protons (31 mm in water) to treatment room through double scattering.
- Beam time provided for research.
- We've had 2-day shifts every few months.
- Already made interesting observations with our equipment about the treatment beam...



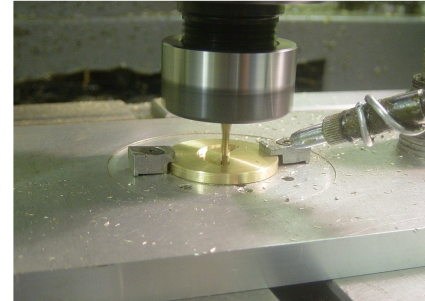
- Need much lower proton fluence for our measurements than clinical settings.
- Rate reduction achieved through:
 - Various collimators (0.5–10 mm)
 - Ion source gas supply.
 - Ion source discharge current.
 - Cyclotron sector focussing.
 - RF phasing (wouldn't recommend it...).

Step 4: Clatterbridge Cancer Centre 27

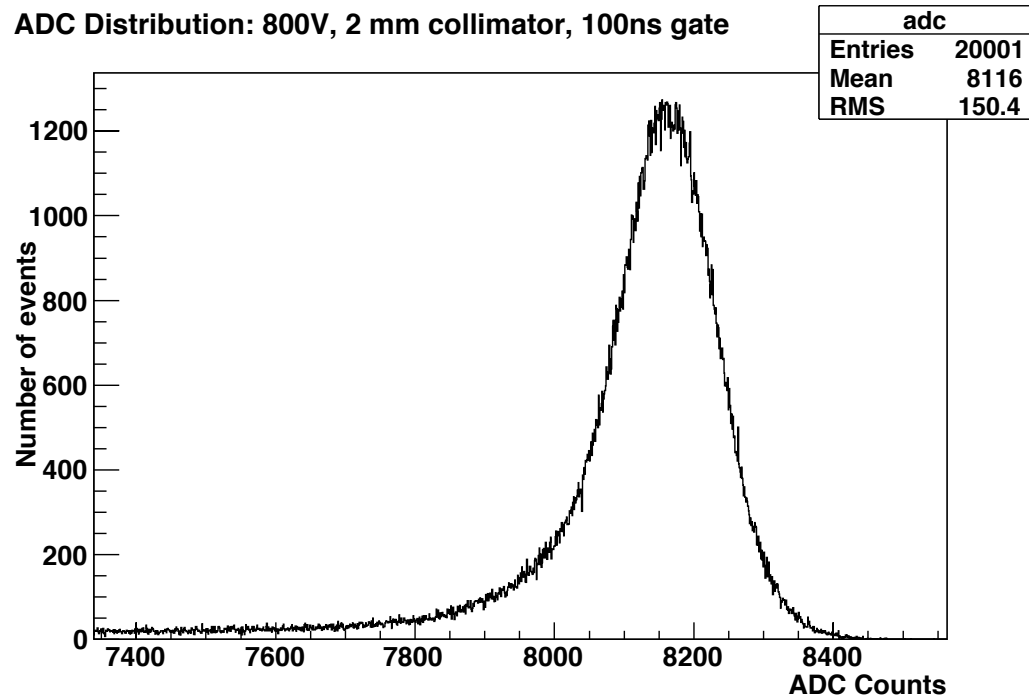




- The proton rate from the beam was carefully controlled by
 - Inserting brass **collimators** with **varying diameters** (0.5 mm – 10 mm) into the beam nozzle (~30 cm upstream of the optical module)
 - Adjustment of the **ion source gas supply**
 - Adjustment of the **ion source discharge current**

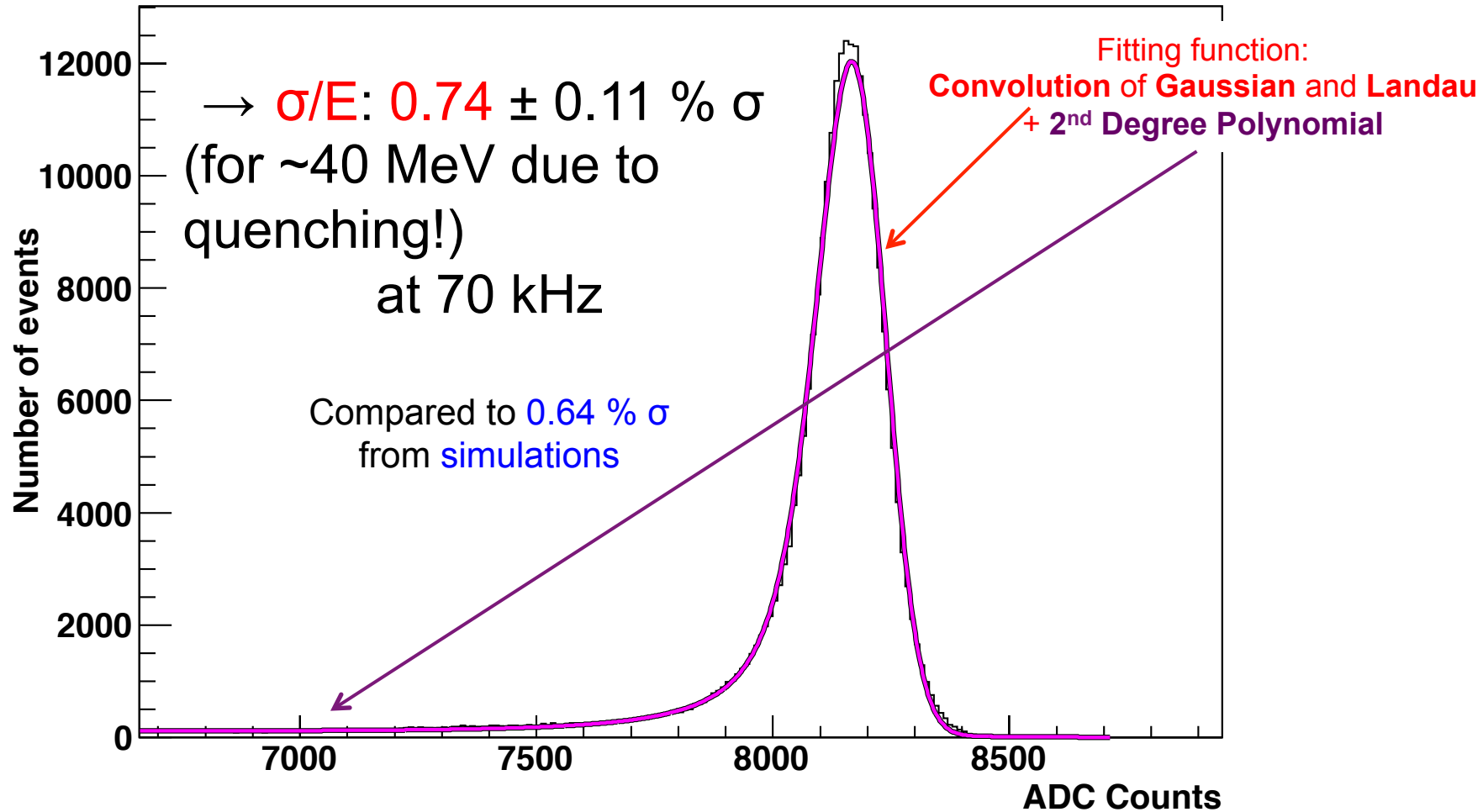


ADC Distribution: 800V, 2 mm collimator, 100ns gate

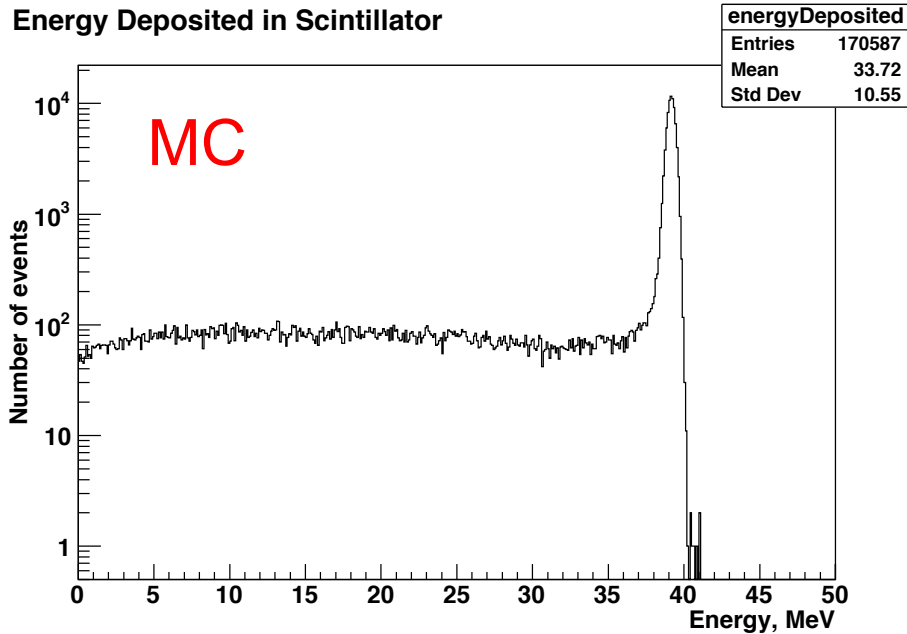


- Resulting distribution:

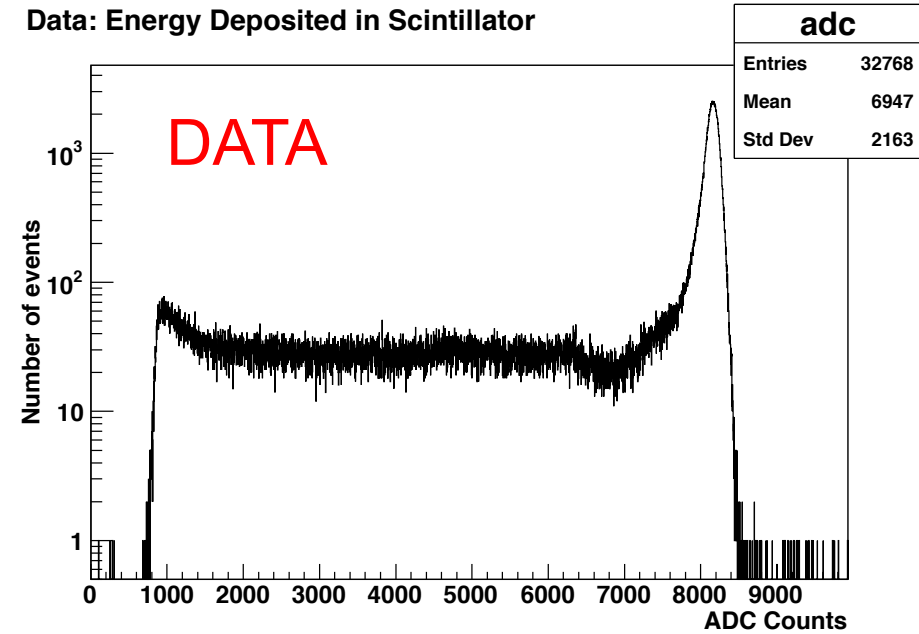
ADC Distribution: 800 V, 2 mm collimator, 100 ns gate



Energy Deposited in Scintillator



Data: Energy Deposited in Scintillator



- Our simulations accurately represent our data!

- We have already achieved the target energy resolution: **0.7 % σ**
- But, at rates **> 250 kHz** we start to see **pile up**
- The next step is to do this for very high rates of **1–10 MHz** with a compact design:
 - Reduce the size of the PMT and the scintillator to improve timing and make the design nozzle-mountable
 - -VE HV PMT base to remove decoupling capacitor (not fast enough discharge)



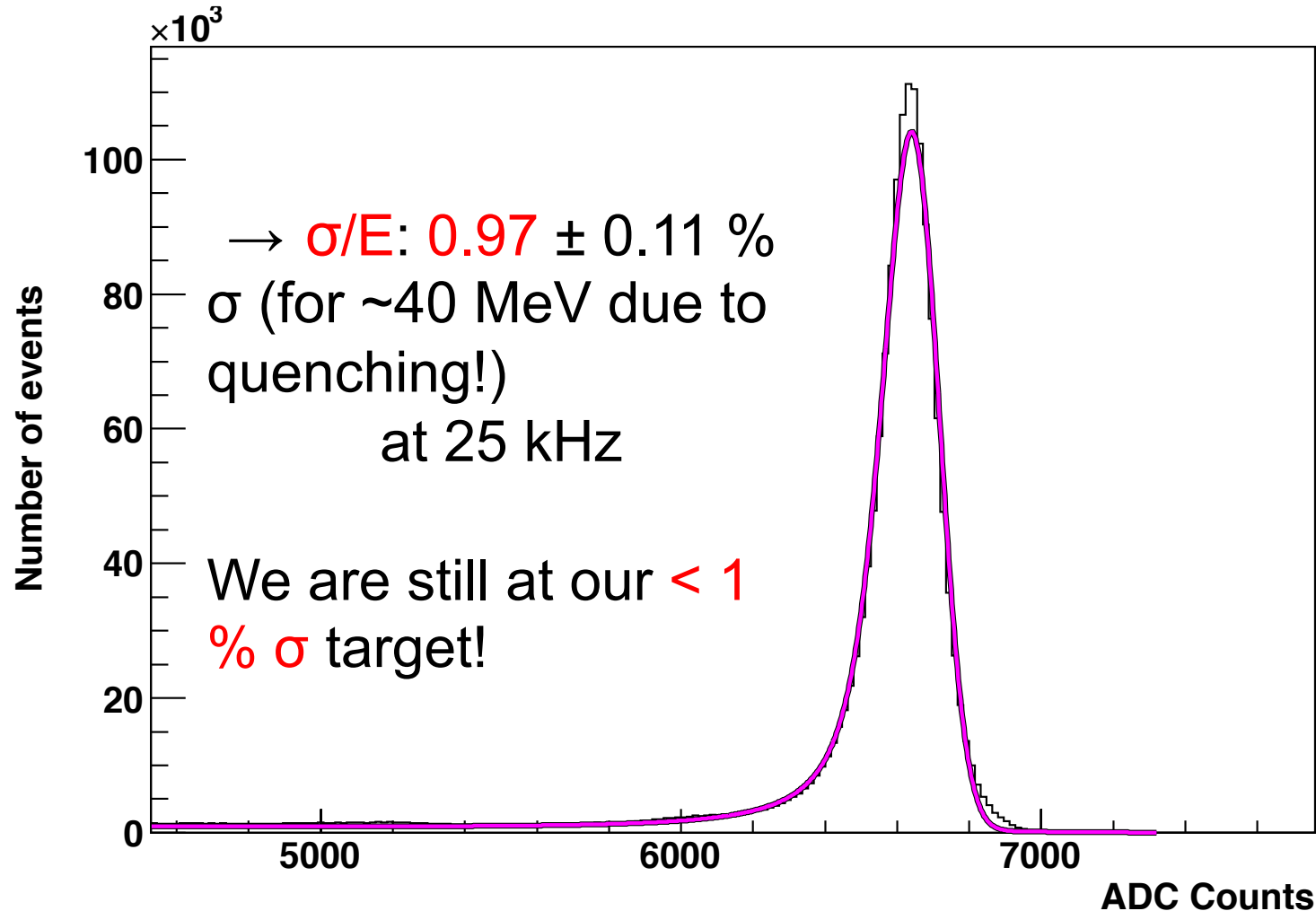
2" Hamamatsu R13089-100-11 PMT with negative HV active divider base

3 cm x 3 cm x 5 cm cuboid ENVINET/NUVIA PolyStyrene standard scintillator

- Coupled with BC-630 Saint Gobain silicone optical gel
- Wrapped in 75 μm of PTFE (Teflon) ribbon on the sides and 12 μm of Mylar on the sides and entrance face

Step 5: Smaller and Faster

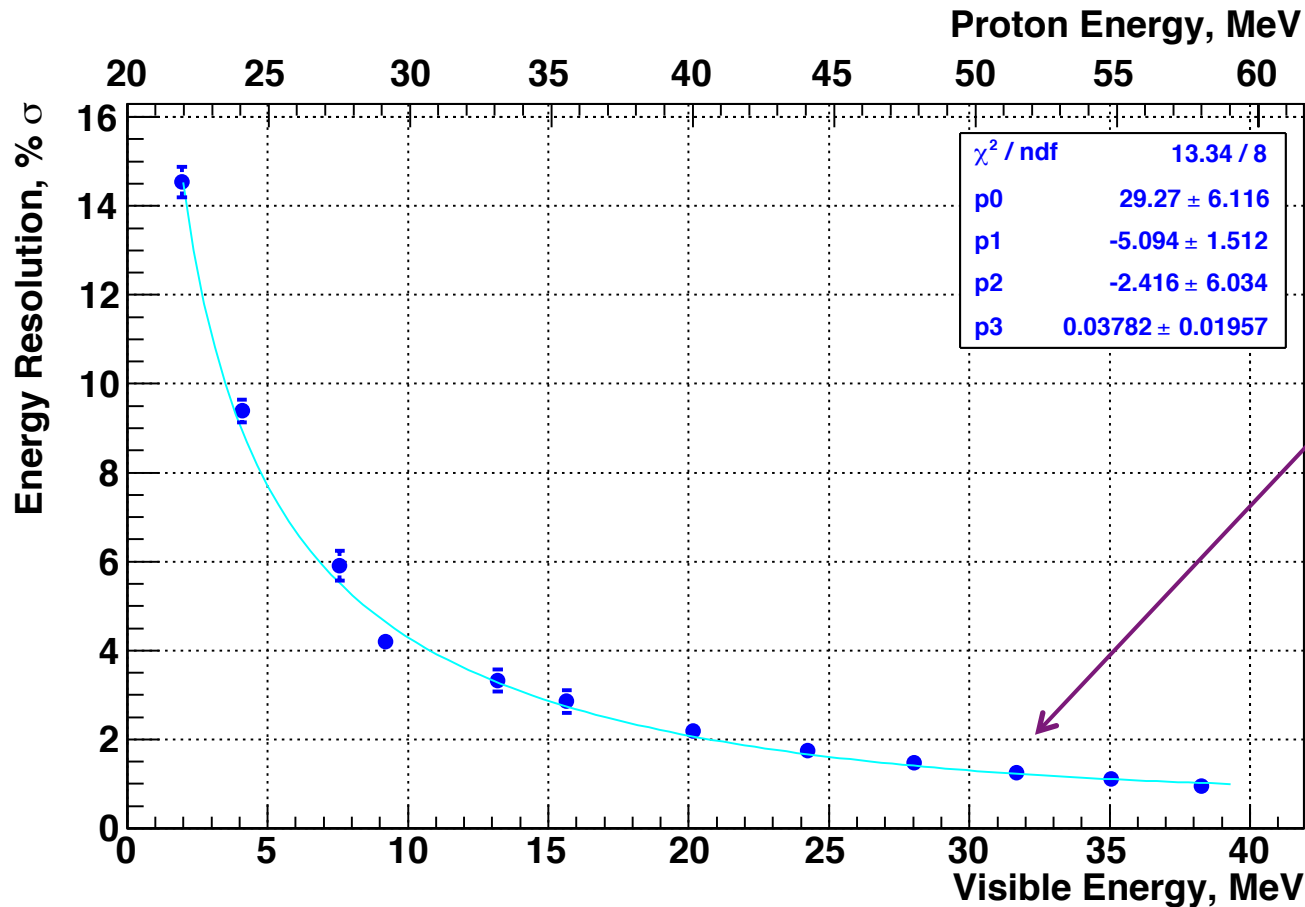
ADC Distribution: -900 V, 1.98 mm collimator, 150 ns gate



Resolution Dependence on Energy

- Energy of protons incident on scintillator varied by placing absorbers (PMMA plates and calibration wheel) of known thickness ~1.8 m upstream of the optical module

Energy Resolution as a Function of Proton Energy: -900 V



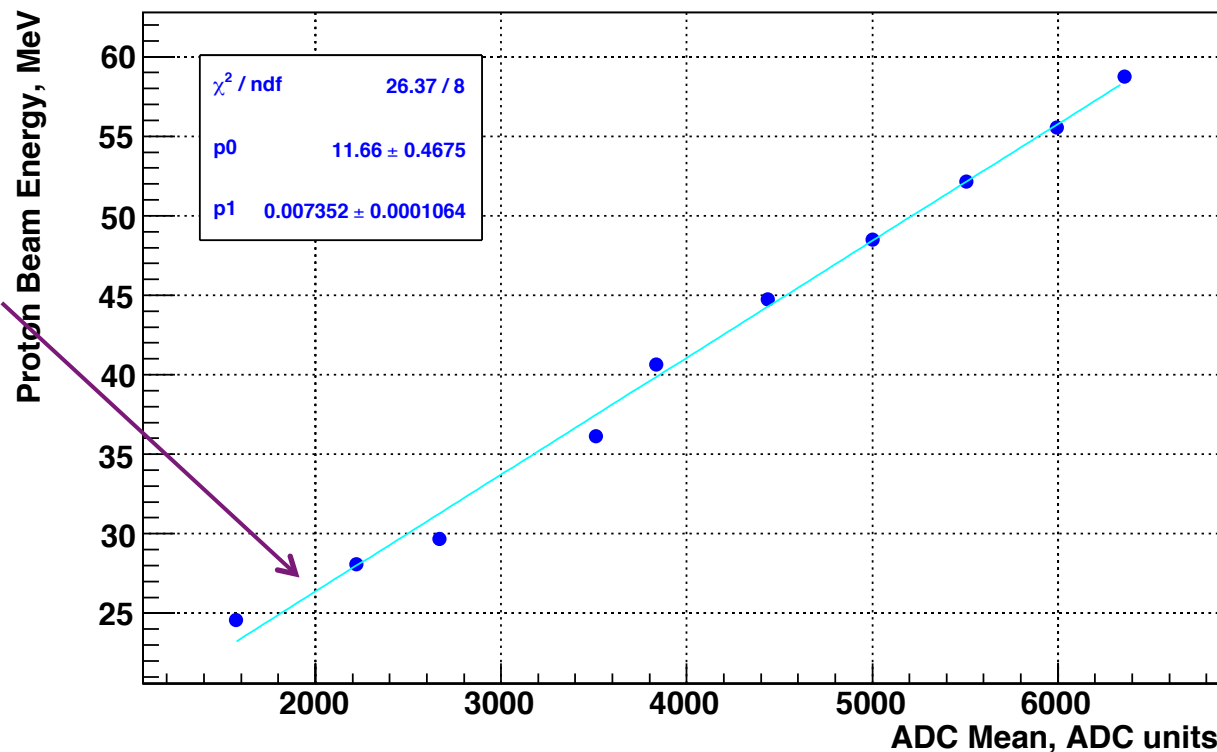
$$y = p0 + \frac{p1}{\sqrt{x}} + \frac{p2}{x} + p3 \cdot x$$

\sqrt{E} dependence!

Linearity (-900 V)

- We want to run the PMT at **higher voltages** (can run at up to 1500V) as this will **increase** the PMT's **collection efficiency** and will improve the energy resolution
- BUT we have a **LOT of light** (tens of thousands of photo-electrons) so we need to make sure we are not saturating the PMT
 - Look at **linearity**

Proton Energy as a Function of ADC Mean: -900V

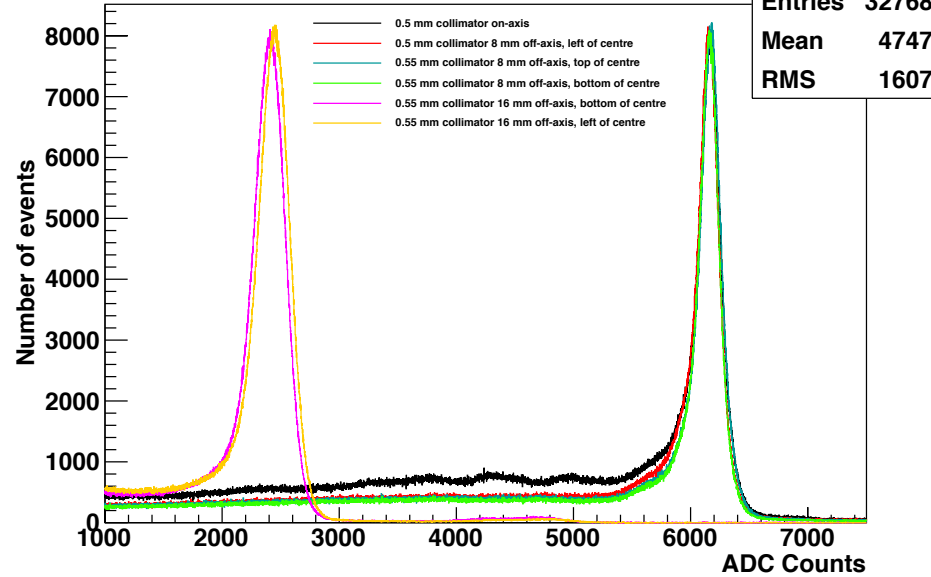


$$y = p0 + (p1 \cdot x)$$

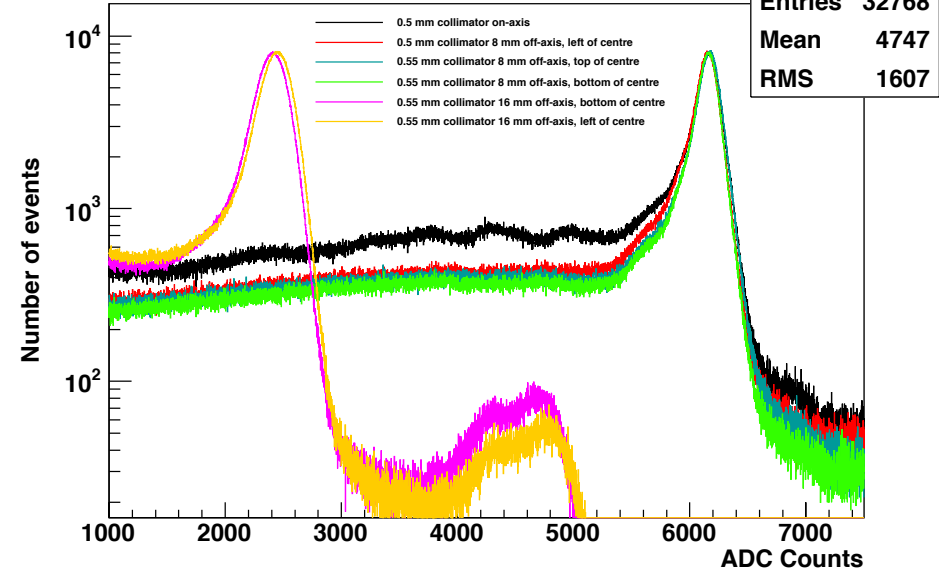
For - 900V:
Deviation from
linearity < 2%!

- 0.5 mm \varnothing collimator

Beam Uniformity Tests



Beam Uniformity Tests



- Uniform 8 mm away from the centre

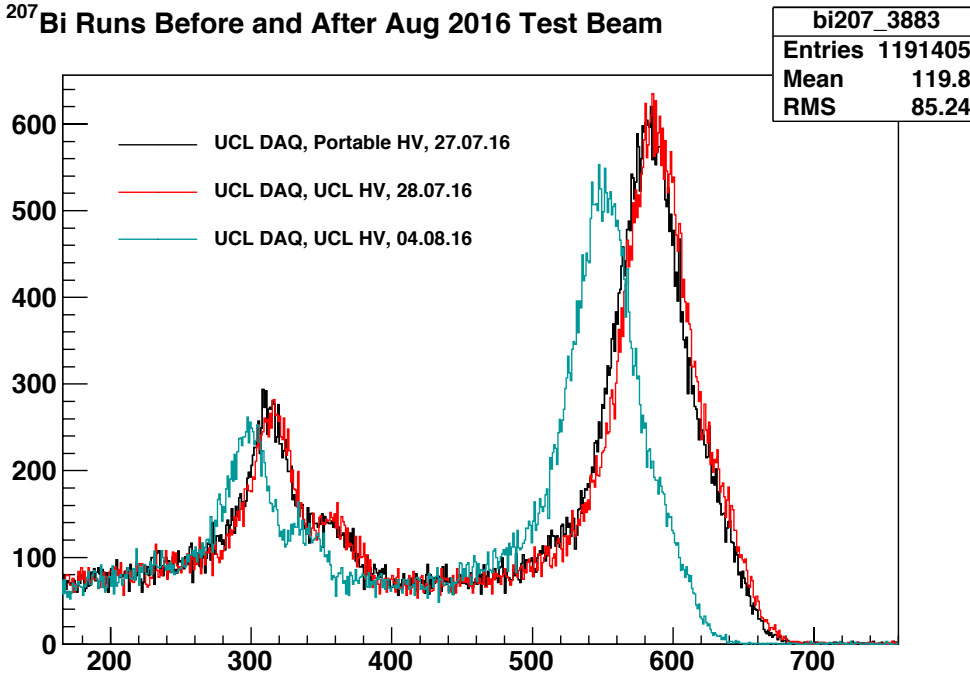
- 16 mm away from the centre is 1 mm away from the beam edge

- Currently trying to understand these edge effects

- Okay to use collimators to reduce rates!

- Total estimated radiation dose received by 2" OM: 0.25 Gy

²⁰⁷Bi Runs Before and After Aug 2016 Test Beam



Date	HV Supply	DAQ	σ/E (%)
27/07/16	Portable	UCL	3.16 ± 0.03
28/07/16	UCL	UCL	3.14 ± 0.03
Clatterbridge Test Beam: 02–03/08/16			
04/08/16	UCL	UCL	3.08 ± 0.03

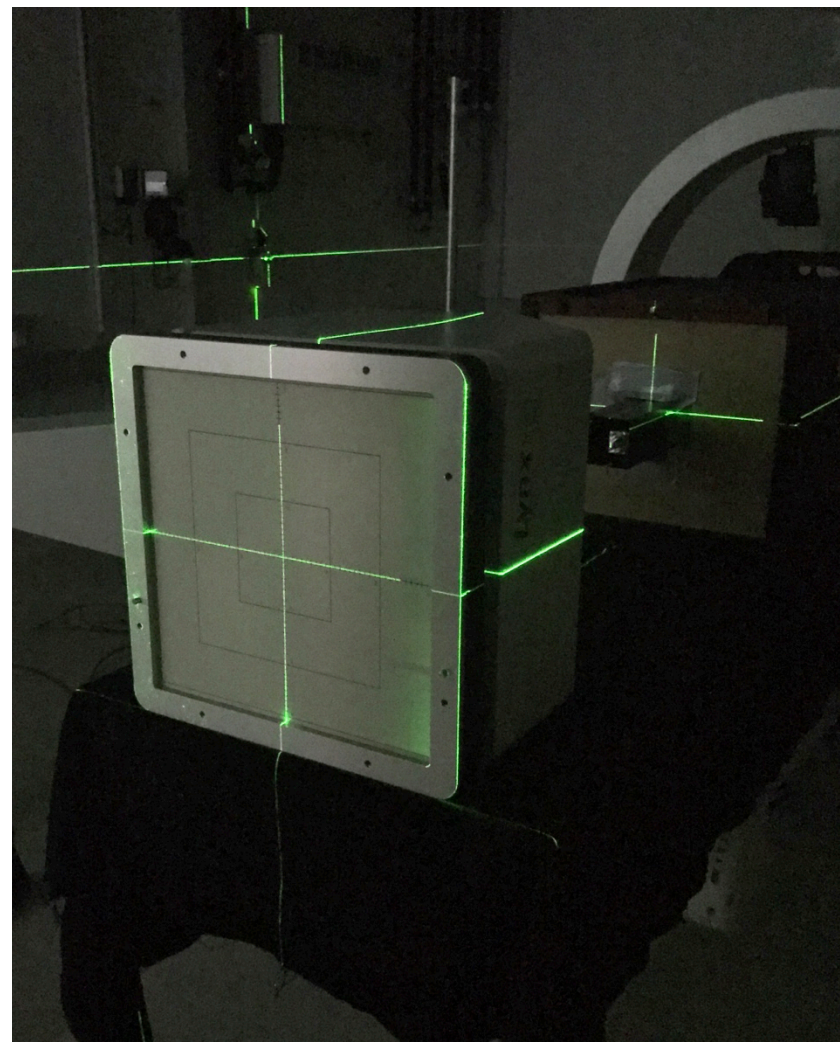
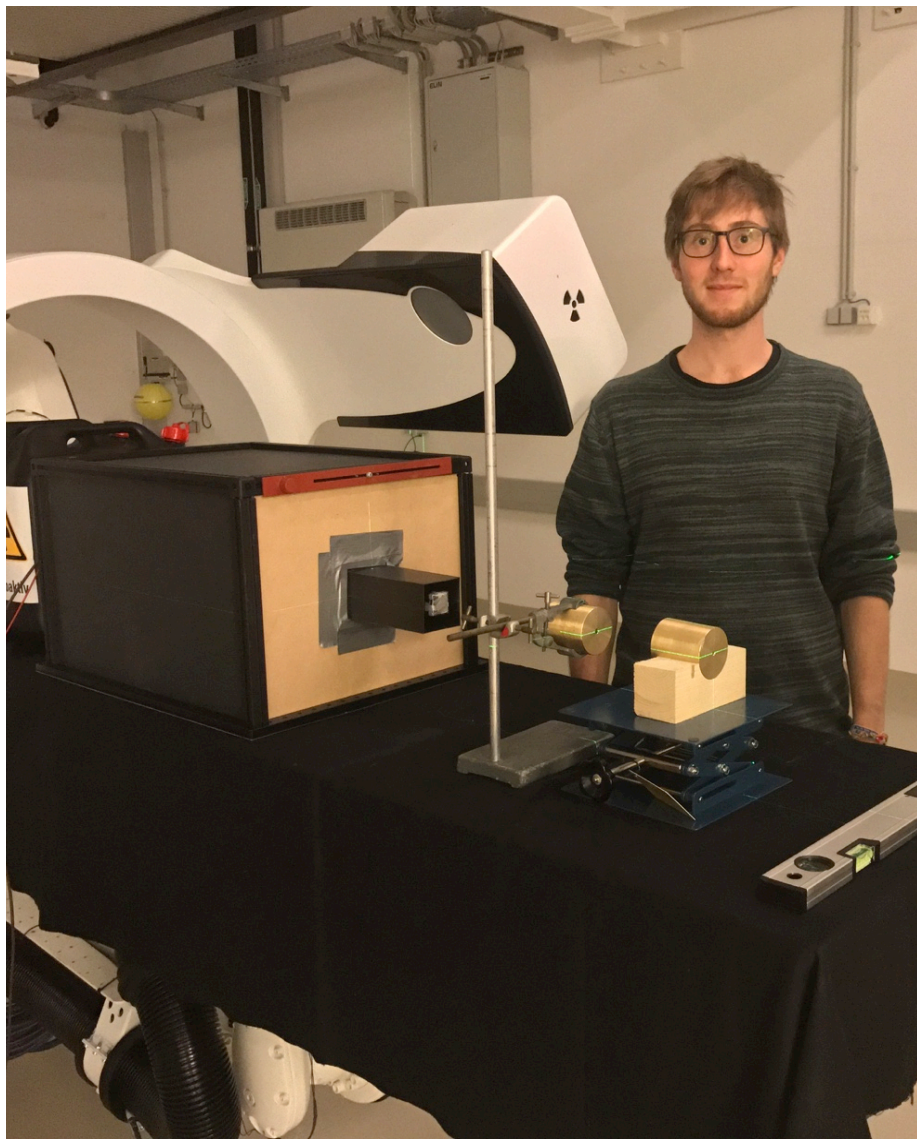
- No noticeable difference in resulting energy resolution so far.

- Having tested detector at 60 MeV, needed to make high energy test to examine performance and test linearity:
 - Longer, 40 cm scintillator block to absorb 250 MeV protons.
 - Same PMT and readout.
- Through OMA, MedAustron offered us 2 nights of beam tests:
 - 62 MeV up to 252 MeV.
 - Able to drop rate down with chopper adjustment: ran 1 kHz–1 MHz.
 - Custom collimators to reduce intensity and beam size.

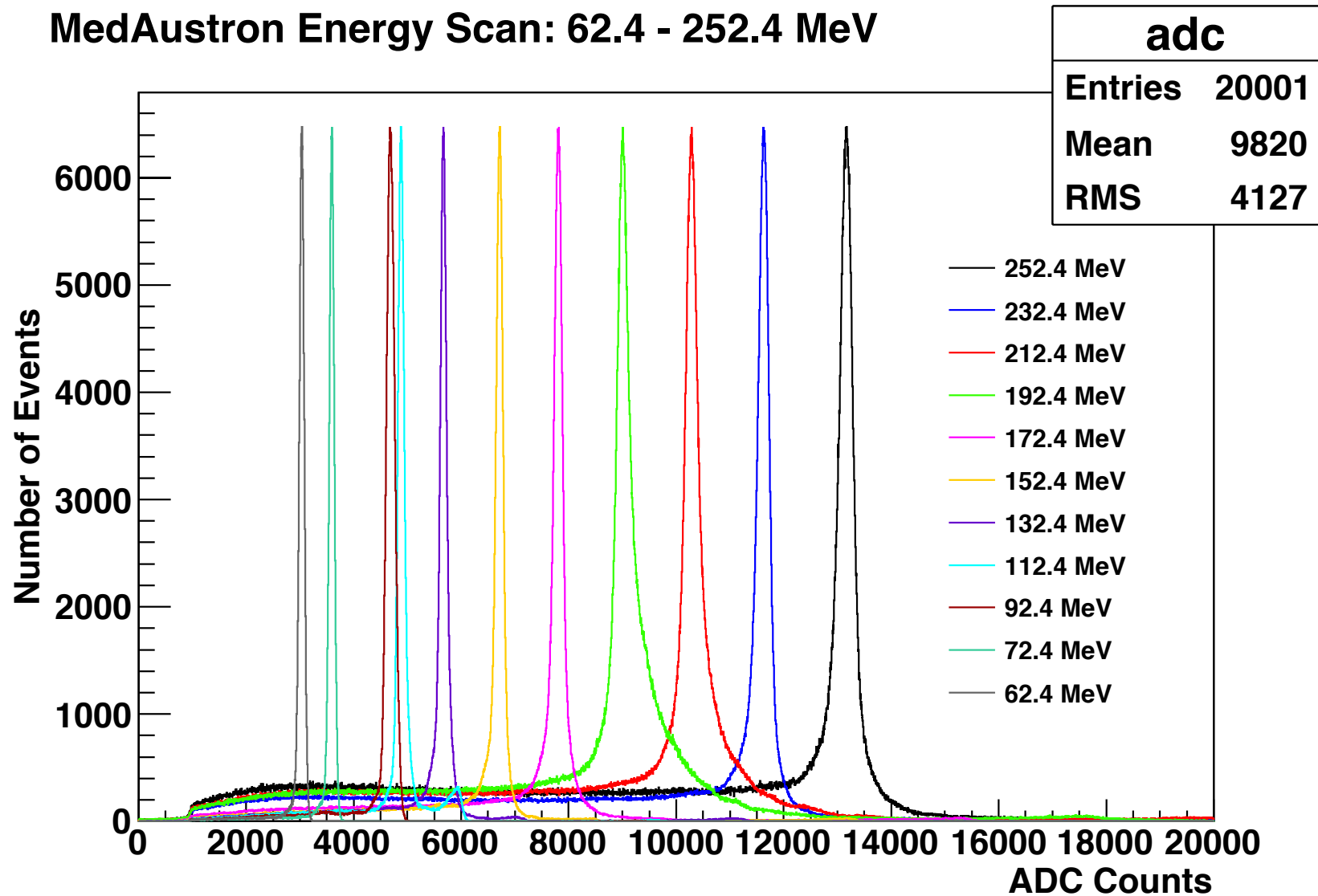


MedAustron Setup

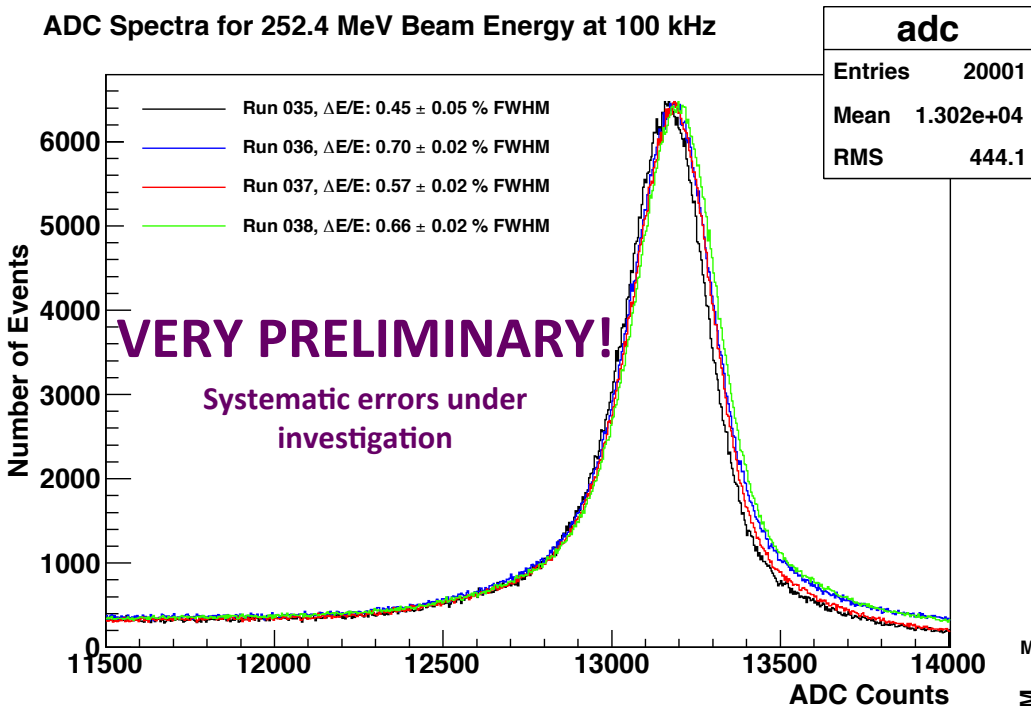
39



MedAustron Energy Scan: 62.4 - 252.4 MeV



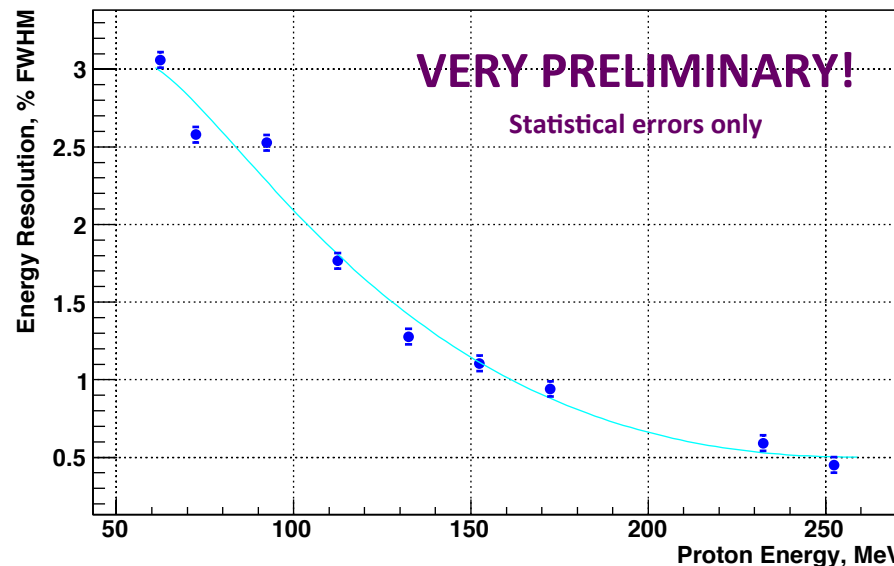
ADC Spectra for 252.4 MeV Beam Energy at 100 kHz



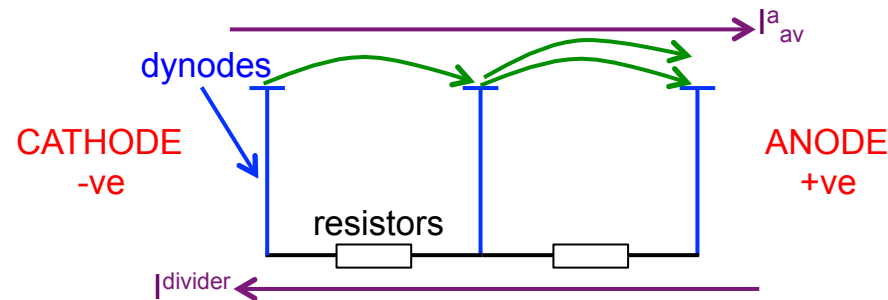
- Still seeing excellent energy resolution up to 252 MeV.
- Best resolution 0.2% σ .
- But also still seeing rate issues:
 - PMT current limit from too much light!
 - Detector not fast enough for 10^{10} p/s clinical rate.

- In close collaboration with Hamamatsu to develop a new kind of light detector:
 - High QE (photocathode) of PMT
 - Lower gain of 10^3 .
 - Low noise.
 - Have carried out experiments with a range of bases.

MedAustron March 2017: Energy Resolution as a Function of Proton Energy



- When considering a PMT, there are two main currents to consider:
 - The DC current running through the resistor chain, I^{divider} (also known as the “bleeder” current).
- The **average anode current**, I^{a}_{av} , which is the current caused by the avalanche of electrons and travels in the opposite direction to I^{div} .

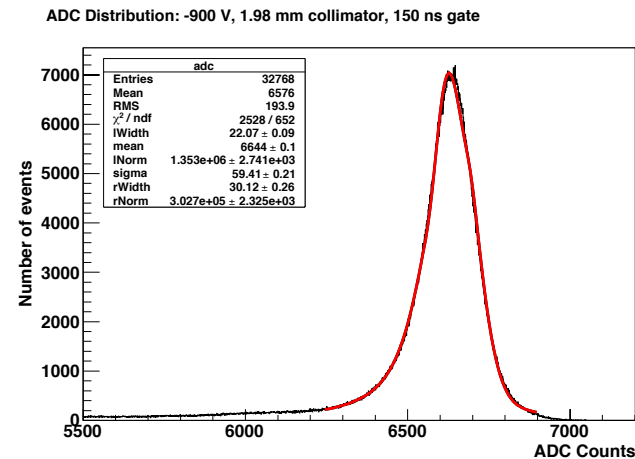


- In order for the PMT to function correctly $I^{\text{a}}_{\text{av}} \ll I^{\text{div}}$!
- For the R13089-100-11 PMT with the negative Hamamatsu active divider base to function correctly: $I^{\text{a}}_{\text{av}} < 100 \mu\text{A}$, according to Hamamatsu specifications.
- We are exceeding this as the rate increases, leading to peak current limit: average pulse height drops with rate increase.

- An optical module inspired by the SuperNEMO experiment has measured the 60 MeV proton beam at Clatterbridge with an energy resolution of:

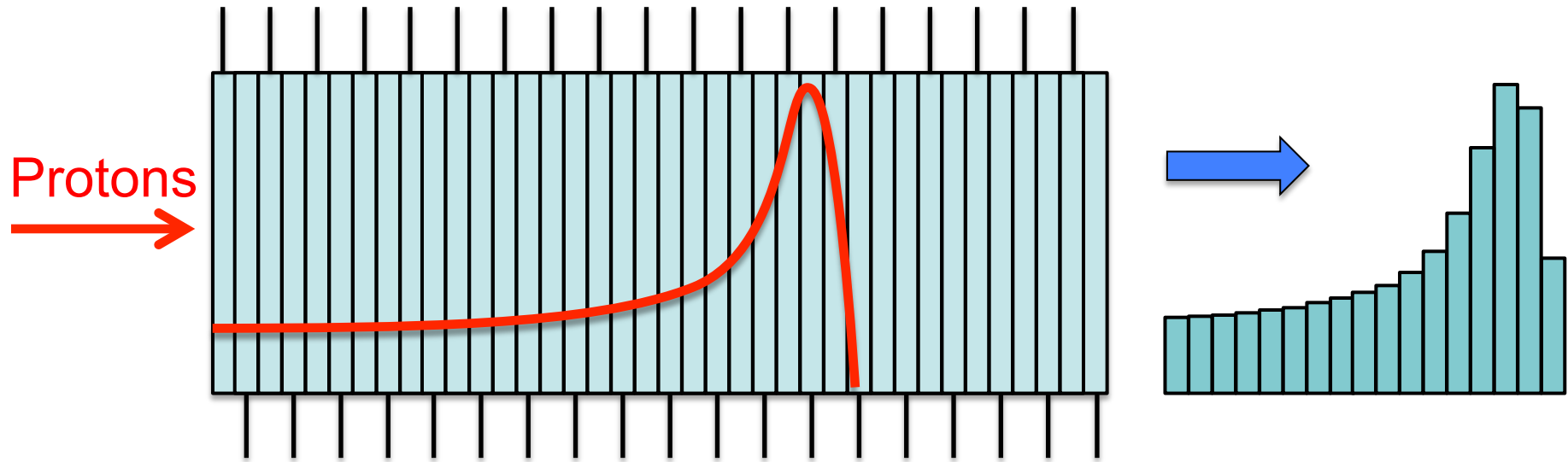
$0.97 \pm 0.11 \% \sigma$
(for 40 MeV “visible” energy)

This has reached the target energy resolution of
 $< 1 \% \sigma$



- And a 250 MeV proton beam at MedAustron with an energy resolution of $0.2 \% \sigma$
- A great result for **protonCT**
- But this single module isn't fast enough to handle clinical proton rates and therefore is **not currently suitable for QA range verification**
- Ongoing work to improve timing in collaboration with Hamamatsu
- Goal to reach **10 MHz**

→ Design a **segmented calorimeter** to be used as a range telescope!

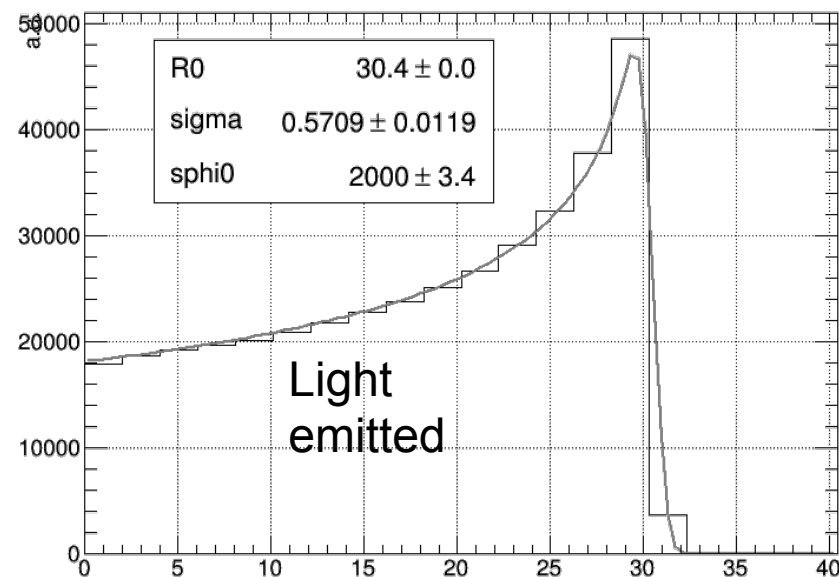
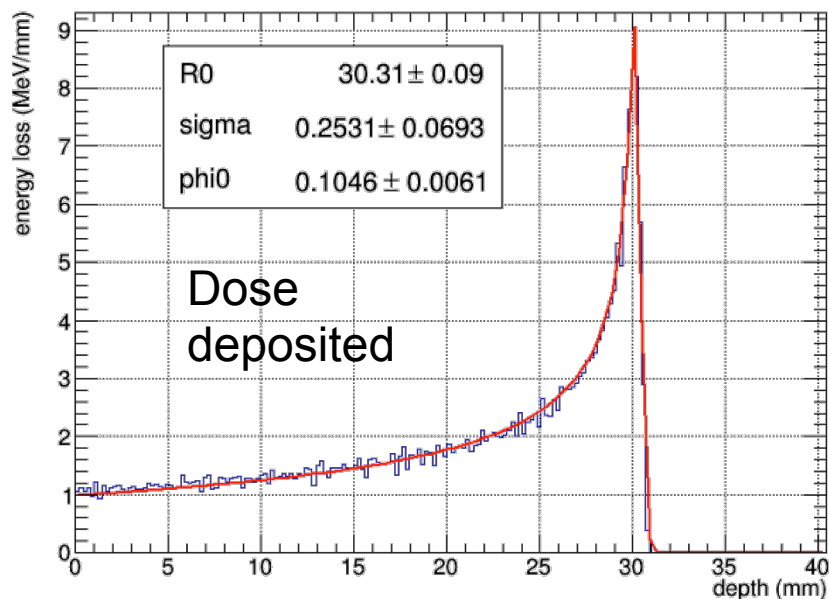


- Segment block into slices and read out light from each slice individually.
- Integrate signal from many protons: very large output from $10^{10}/s$.
- Minimum slice width will depend on manufacture: aiming for < 3 mm.
- Use simple, stable light detection: photodiodes/pixel sensors.
- Resolution set by slice width and variation in scintillator light output.
- Light enough to be nozzle-mounted: measurements from multiple gantry angles.

- Laurent Kelleter has built preliminary model in Geant4:
 - 2 mm slices of plastic scintillator with mylar wrapping.
 - Included quenching in Bortfeld formula: fit to light output.
- STFC IPS grant application with NUVIA a.s. in Czech Republic to produce our scintillator sheets: manufacturing challenging!
- Need to characterise light quenching to reconstruct Bragg curve: **pencil beams only**.
- Fit to measured curve drastically improves mean range measurement with estimate of spread.

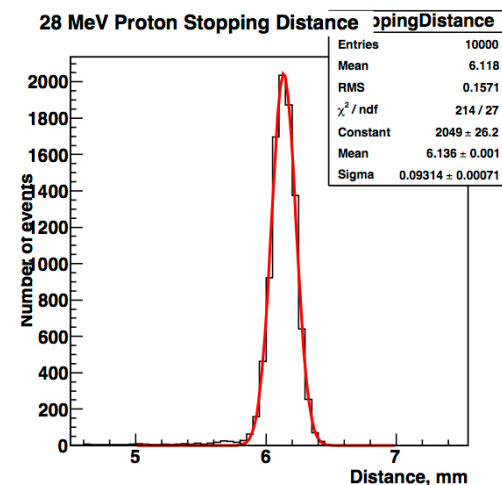
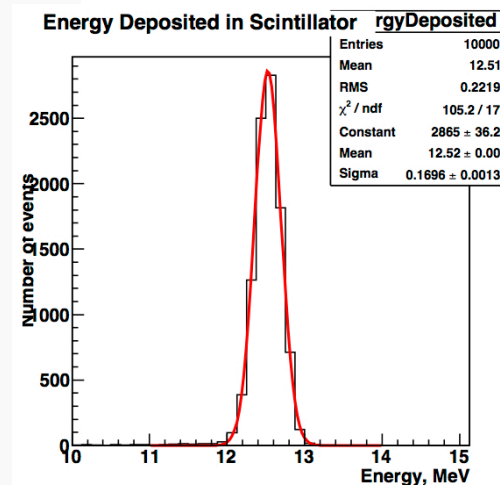
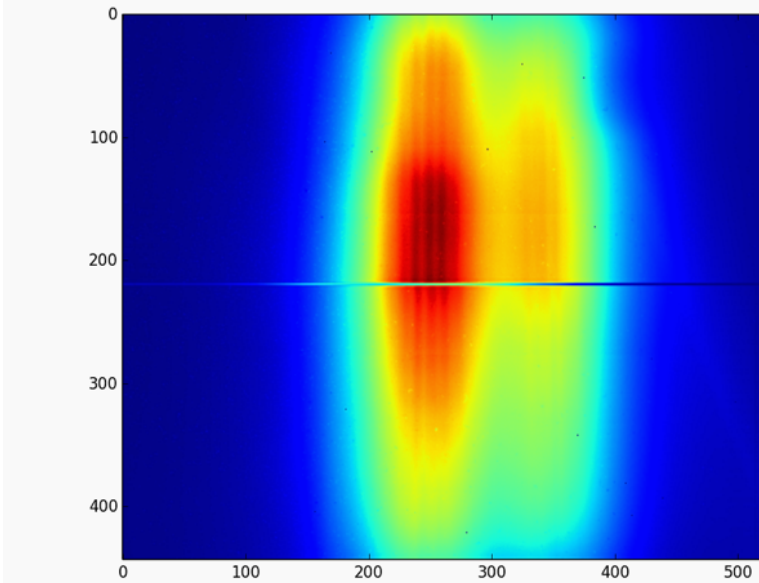
$$\frac{dY}{dx} = \frac{S}{1 + kB(dE/dx)} \times \frac{dE}{dx}$$

dY/dx	light yield per unit path length
dE/dx	energy lost by particle per unit path length
kB	relates density of ionisation to energy loss = 0.207 mm/MeV
S	absolute scintillation efficiency





- Carried out beam tests of 2 sheet prototype of segmented calorimeter:
 - 3 mm and 4 mm Nuvia plastic scintillator sheets.
 - PRaVDA Priapus MAPS pixel sensor (10 cm x 5 cm).
- Birmingham cyclotron provided 28 MeV proton beam with clinical fluence.



- Currently constructing **60 MeV prototype** using:
 - **20 x 3 mm** and **30 x 2 mm** scintillator sheets obtained from NUVIA.



- Tests ongoing to determine the best method to isolate sheets from each other and reduce light output (we have too much light!).
- Clinical beamline tests lined up for the near future:
 - Birmingham (36 MeV), Clatterbridge (60 MeV) and MedAustron (100 MeV).
- Look at overall performance, **radiation hardness** and **quenching at high rates**