E l Rexperiment

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ETH Institute for Particle Physics

The

SAFIR : Small Animal Fast Insert for mRi



R&D project in **Positron Emission Tomography (PET)** instrumentation for pre-clinical hybrid PET / MRI acquisitions

Outline

Define the context :

- PET basics
- multimodal PET/CT and PET/MRI
- short digression with a historical approach

SAFIR :

- SAFIR goal
- detector design
- simulations
- image reconstruction
- characterization of the hardware components
 - SiPM
 - scintillator crystals
 - readout ASIC chips (high rate tests)
- future plans

Conclusions

Setting the stage...

PET : Positron Emission Tomography



PET detection principle



most widely adopted detection technique :

- inorganic scintillators

mostly L(Y)SO [Lu based crystals]

- photosensors

traditionally PMT (block detector) now Silicon based photodetectors (APD/SiPM)

different approaches of xtal/photosensors coupling



Early PET images (at CERN)



D. Townsend, A. Jeavons CERN, 1977

first reconstructed image of the skeleton of a mouse injected with 18-F

detector : HIDAC i.e. wire chamber (from G. Charpak)

"You are indeed correct that the birth of PET is somehow controversial" (D. Towsend)



Randomly selected PET images

myocardial perfusion (Rb-82) in a normal patient







F-18 young rat imaged with the AX-PET



huge domain of applications both in clinical and pre-clinical fields

- full body / brain /or organs-specific
- oncology (diagnosis, tumor staging)
- study of neurogerenerative diseases
- psychology
- cardiac functioning monitoring
- in-beam monitoring in hadron-therapy
- medical research
- pharmacokinetics
- development of new tracers
- -6-

Skeleton of a mouse injected with 18-F at CERN



remarkable development!!

Most important factors that contributed to this :

- instrumentation development [great boost from HEP : calorimetry / new crystals / new photodetectors / electronics]
- **computing power** [improved reconstruction algorihtms]
- radio-chemistry [FDG-based radiomarkers]
- PET / CT (Computed Tomography)

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PET and CT: a perfect fit

 idea: combine functional imaging from PET with morphological imaging from CT (X-rays) (D. Townsend)



- born in the clinical domain (first commercial PET/CT scanner: 2001)
- 2006 : no more stand-alone PET !

A revolution in medical imaging !

- clinical evaluation (~ 10 years) => importance of co-registered anatomical information at high resolution with functional data
- immediately recognized by-product :

CT generates the attenuation correction map needed for PET to be quantitative (instead of lengthy transmission scans)

PET/CT :

- Localization
- CT-based attenuation correction



PET and MRI: an even better fit ? - PART I

MRI : Magnetic Resonance Imaging also provides morphological information



Anatomical counterpart for PET:

Advantages of PET/MRI vs PET/CT :

- high soft-tissue contrast (brain)
- no additional dose (kids)
- reduction in positron range => improved spatial resolution
- possibility of simultaneous acquisition
 - => no temporal mismatch (organs movements)



PET and MRI: an even better fit ? - PART II

MRI is also functional - full complementary to PET

Two examples :

[1] MRS (Magnetic Resonance Spectroscopy)

- study tissue metabolism with 13C-labelled substrates
- MRS: high chemical specificity in identifying different metabolites
- MRS: low sensitivity (or interference with methabolism processes)

if 11C -labelled => MRS + PET

[2] CBF - Cerebral Blood Flow

- importance of constant delivery of oxygen in the brain
- CBF mechanisms are not yet completely understood
- CBF used as surrogate of neural activity in MRI => functional MRI

if **150**-H2O => **fMRI + PET**

t1/2(O-15) ~ 2 mins changes of CBF up to 20% in time scales of seconds => high temporal resolution needed

Hybrid PET / MRI

Great potential for PET/MRI to become the dominant nuclear imaging technique

- 1+1>2! • MRI as the anatomical counterpart of PET (with advantages wrt CT)
- Functional capabilities of MRI (fMRI)

The potential of PET/MRI is fully exploited when :

- fully simultaneous (time / space correlation) => PET inside the MR bore
- dynamic studies

But...

PET / MRI is technically very challenging !

- Mutual non-interference of the two modalities
 - PET must work into MRI (no PMT; heating / vibrations; electronics interference)
 - MRI must be undistorted by PET difficult, but possible
- Limited space available

Possible, thanks to the revolution in photodetection

PMT

high gain good timing not MR compatible

Kobe City College, Osaka University



fibers + PS-PMT Axial ~ 0.5 cm



University of Cambridge



split magnet fibers + PMT Axial ~ 7 cm

Possible, thanks to the revolution in photodetection



relevant examples of **pre-clinical simultaneous** PET/MRI that have been used for **in-vivo analysis**



Possible, thanks to the revolution in photodetection



APD (Avalanche Photo Diodes)

insensitive to magnetic field / compact / low gain

=> worse timing perfs

=> need of very low noise FE electronics



SiPM (Silicon PhotoMultipliers)

SiPM is the dominating technique for all new PET developments

:-) ...Advantages :

- insensitive to magnetic field
- compact
- gain ~ PMT => excellent timing resolution + no need of very special care in the FE
- high PDE
- low bias voltage
- exist in arrays of increasing dimensions

typical cell size ~ 20 to 100 μ m

:-(...Disadvantages

temperature dependence of the gain => stability issues (Temp, Vbias) / cooling
(- dark counts)
non - linearity

-14-

Overview of current small animal PET and PET/MRI





SAFIR : GOAL

Small Animal Fast Insert for mRi :

- pre-clinical PET / MRI
- fully simultaneous
- unprecedented high temporal resolution

(acquisition duration of the order of a few seconds - at high repetion rate)

dynamic studies of various biological processes

(e.g. blood perfusion - cerebral blood flow with O-15)

¹⁵O: t1/2 ~ 2 mins ; changes in tracer concentration ~ 20% in secs





Detector requirements



[same as in clinical TOF-PET, without being TOF-PET!]

high rate/channel ~10 kHz/mm²

high channel density

limitations from the

existing magnet

SAFIR design concept

sketch of 1/2 detector design not yet finalized!





radial arrangement of crystal matrices with 1:1 coupling to SiPM arrays

- LSO-type (LYSO, LSO:Ca...) crystal matrices
- modular structure of crystals
- ring structure / several modules per ring
- rings stacked axially to provide the axial FOV coverage







SAFIR SIMULATIONS

- custom simulation framework
- native Geant4
- DETECTOR
 - 'reference' design geometry
 - gaussian time smearing $\sigma = 90 \text{ ps} => \text{CRT} \sim 300 \text{ ps}$ fwhm
 - gaussian energy blurring $\Delta E/E \sim 20\%$ fwhm



according to

NEMA standard (NU 4-2008) :

- 1. Noise Equivalent Count Rate (NECR)
- 2. Sensitivity
- 3. Spatial resolution

NEMA (National Electrical Manufacturer Association): standardized methodology to evaluate the performance of a scanner independently on the specificity of the designs.

sources and phantoms used in simulations according to NEMA prescriptions

Quantity	Phantom Material	Phantom Shape	Source	Activitiy (MBq)
NECR	High-density polyethylene	Mouse-like (cylinder, I=70 mm, d=25 mm)	¹⁸ F line (l=60 mm)	10, 25, 50, 100, 200, 300, 400, 500, 700, 1000
Sensitivity	Acryl	Cube (1 cm x 1 cm x 1 cm)	²² Na point-like	0.1
Spatial resolution	Acryl	Cube (1 cm x 1 cm x 1 cm)	²² Na point-like	0.1

NECR (Noise Equivalent Count Rate)

figure of merit in PET (counting statistics)

from simulated data (with reference design geometry)

 NECR = rate of 'true' coincidences normalized to the total number of coincidences

$NECR = T^2 / (T+S+R)$



NEMA prescriptions :



mouse cylindrical phantom with line source at different activities



- higher NECR value => higher ratio of good events (T) to the overall detected events (T, R, S) i.e. S/N
- larger activity **peak value** => capability to handle higher activities without being dominated by the randoms + scatters

-21-

NECR (Noise Equivalent Count Rate) vs CRT

strong impact of the timing resolution on the NECR



=> Feasibility of the SAFIR concept

with CRT ~ a few 100's ps (<500 ps) and at Act ~ 500 MBq

(1) still far from being dominated by randoms

(2) NECR ~ x6/7 'standard NECR' at activities (~ 50 MBq) (i.e. 1 min => 10 secs) $^{-22-}$

Sensitivity

from simulated data (with reference design geometry)

Sensitivity = N_detected_coincs / N_annihilations - <u>at photopeak</u>

N_detected coincs & N_annihililations defined according to NEMA standard

- NEMA phantom : 1cm³ acrylic with 22-Na point source at the center
- low activity (Act = 100 kBq)
- at different axial distances



S_peak ~ 3.8% (at photopeak)

Large solid angle coverage (~ 85%) =>
 Very good sensitivity

- expected to increase with the inclusion of ICS (Inter-Crystal Scattering) events



Spatial resolution

from simulated data (with reference design geometry)



- NEMA phantom : 1 cm^3 acrylic with 22-Na point source at the center low activity (Act = 100 kBq)
- at different radial distances in two different axial positions

Resolution ~ 2mm FWHM (at center of FOV)

SAFIR image reconstruction

STIR (Software for Tomographic Image Reconstruction)

- Open Source software (C++)
- libraries for image reconstruction and manipulation of projection data
- several reconstruction algorithms already implemented

Goal :

recover the activity distribution, starting from the acquired data

Data :

LOR of the various coincidence events i.e. **projections** (typically organized in "sinograms")

f(x,y) => g(s,θ) : data taking (projection) g(s,θ) => f(x,y) : back projection



Two different approaches :

-25-

ANALYTICAL METHOD

- Filtered Back Projection (FBP) :
 - I) Fourier analysis of the projection data
 - 2) Different weight to different frequencies ("filtering")
 - 3) "Back-Project"

• simple and fast / less accurate

ITERATIVE METHOD

- optimization procedure until the best estimate of the source is found (several optimization strategies exist)
- it requires the accurate model of the emission and detection processes
- slow and CPU consuming
- accurate reconstruction

SAFIR image reconstruction (static)



- iterative (OSEM 1 subset 12 iterations)
- 1 sec data
- 500 M decay events
- "best case scenario"



SAFIR image reconstruction - plans

Currently ongoing activities :

- simplified mouse phantom with realistic activity concentrations



- future : from frame-based reconstruction to 4D reconstruction algorithms

Characterization of hardware components

Hardware components:

- SiPMs
- crystals
- readout chips for SiPM

A few samples of arrays in the 'reference' design (i.e. 8x8 arrays, pitch 2.2 mm) have been procured only recently

Tests done so far : on individual crystals / SiPM or 4x4 arrays (3.2 mm pitch)





LYSO (Hilger) LYSO (Agile) LFS (Hamamatsu) LSO & LSO:Ca (SiPAT) - only on single crystals

Analogue characterization SiPM / crystals







same setup used with LED on bare SiPM for single photoelectrons detection





analogue readout chain

-29-

Analogue characterization SiPM / crystals



Analogue characterization SiPM / crystals



[*] = plots

~ 1000 - 1500 pe's @ 511 keV different saturation response depending on the adopted SiPM type

Timing properties of different crystals

- different types of crystals
- pairs of crystals tested with digital SiPM (Philips)
- direct comparison of coincidence time resolution





1.5 x 1.5 x 12 mm3 - Sipat LSO:Ce
 1.5 x 1.5 x 12 mm3 - Sipat LSO:Ce : Ca
 1.5 x 1.5 x 12 mm3 - Sipat LSO:Ce : Ca +

wrapped crystal (ESR) and optical coupling between crystals and photosensors (grease)



measured CRT

[446 +/- 5.2] ps

- [329.7 +/- 1.5] ps 25% improvement wrt undoped
- [228.1 +/- 1.5] ps 30 % improvement wrt bare

LSO Ca-codoped are better in terms of timing <u>BUT DIFFICULT TO PROCURE in large quantity</u>

-32-
- clinical

Out of the many existing SiPM readout chips options :

- **ToFPET(v1) ASIC** (developed at LIP Lisbon) Rolo et al. JINST8 C02050
- STIC v3.1 ASIC (developed at KIP Heidelberg) Harion at el, JINST9 C02003



low power consumption

high rate capabilities

measure time and energy on individual channels with very good timing perfs

1

1

1

1

1

1

EndoTOFPETUS SAFIR Both developed within the readout for SiPM and Xtals matrices 1 Endo-TOFPET-US project measure time and energy 1 ✓ excellent ✓ very good timing resolution (CRT ~ 200 ps FWHM) (CRT < 500 ps FWHM)- Time of Flight ! high channel density 1

Readout ASIC chip

-33-

High rate test with TOFPET and STiC ASICs



at University Zurich Hospital (daily 18-F production)

- Activity : ball phantom [⊗ = 11mm]
 filled with FDG [t_{1/2} 18-F ~ 120 mins]
- Activities up to 500 MBq
- 2 matrices [xtals + SiPM arrays] 4x4 channels
 - Hamamatsu S12642-0404PB-50 : 4x4 ch, TSV, 3x3 mm2 sensor size, 3.2 mm pitch
- LYSO crystals (Agile) : 4x4 xtals, (3.1 x 3.1 x 12) mm3 each, ESR wrapping / separation (100 um thick)
 i.e. existing commercial components different form factors and distances wrt SAFIR reference design
 reduced nr of channels/chip
- operated in coincidence
- same matrices used alternatively for the TOFPET and the STiC setup
- 2 identical parallel setups in a thermal box
- ToT spectrum
- Rate capabilities
- CRT performance



TOFPET performance : ToT and Rate

Time Over Threshold

Rate performance



Low Energy contribution due to important cross talk effect in the crystal matrix



500 MBq SAFIR-equivalent (scaled by size of xtals and F2F distance)

- up to 160 kHz/channel
- then : saturation effect
- not linear anymore at SAFIR equivalent point
- low energy contribution significantly impacts the total rate/channel

STiC performance : ToT and Rate



- + linearization circuitry in the ToT
- ~ 40 kHz/channel at SAFIR-equivalent (as from MC)

TOFPET performance : CRT



STiC performance : CRT



TOFPET and STiC as possible readout for SAFIR

Results from the High Rate Test :

• Significant low energy contribution in the ToT distribution

in the tested setup [Agile matrices + Hamamatsu SiPM] (cross-talk effect btw xtals)

- Need to cut on those events <=> reduce bandwidth occupancy
- Need to have a high validation threshold:
 - STiC(v3.1) : ok
 - TOFPET(v1) : no
- Rate capabilities :
 - SAFIR requirement : ~ 40 kHz/channel (with 2x2 mm2 detector size as in reference design)
 - excellent rate performance (>> SAFIR reqr.) both for STIC(v3) and TOFPET(v1)
- Coincidence Timing Resolution :
 - SAFIR requirement : CRT ~ 300-500 ps FWHM
 - very good CRT in the full range of explored activities
 - STIC(v3.1) CRT ~ 300 ps FWHM at 500 MBq SAFIR-equiv.
 - TOFPET(v1) CRT ~ 400 ps FWHM at 500 MBq SAFIR-equiv.
 - Still under study : deterioration of the CRT with increasing activity
 - $\Delta\sigma/\Delta Act \sim 30-40 \text{ ps} / 100 \text{ MBq}$ (100 MBq in HighRateTest setup ~ 650 MBq SAFIR)
 - but does not compromise the CRT perfs

=> STiC is considered a valid candidate for SAFIR readout

PETA module

alternative option for SAFIR

- compact module for TOF in PET [crystals, SiPM array, RO chip]
- I. Sacco et al: 10.1016/j.nima.2015.11.004 [in press]
- developed within the Sublima project
- based on the PETA chip



- FBK (RGB-HD technology)

TOP VIEW

- 12x12 channels
- (2.25 x 2.25 x10) mm3
- 2.5 mm pitch
- wire-bonded SiPM dies

Crystals

- LYSO + ESR/Alu/ESR

Readout chip

PETA chips

single ceramic substrate

- PETA5 chip (x4/module)
- Position Energy Time ASIC
- 32 channels / chip
- bump-bonded ASIC
- Time (discri + TDC)
- Amplitude (charge input integr.)

Tests with high activity (one chip/module) 2 modules coincidences



although only by preliminary tests PETA is considered a valid candidate for SAFIR readout

BOTTOM VIEW

inlet/outlet for internal liquid cooling

<lcm

-40-

SAFIR future steps

Bruker BioSpin 70/30 MRI-scanner already commissioned and in use at ETH Zurich

1. Two small scale prototypes

- two modules coincidence setups
 - in the final mechanical arrangement
 - inside the MR-bore
 - * PETA modules
 - * 2 modules (12x12)
 - * xtal size : (2.25x2.25x12) mm3
- test high rate performance
- test full MR-compatibility
- now : building / commissioning the needed readout electronics
- expected prototypes ready: May 2016 tests : 2nd half 2016

2. First full ring

- · choice of the readout solution
- extension to one full ring [same geometry of xtals and SiPM as in prototype]
- development and tests of 4D reconstruction algorithms
- full tomographic acquisitions for dynamic studies [but limited coverage of FOV

* STIC chip RO

* 2 matrices (8x8)

* "reference design"

* xtals size : (2.1x2.1x12)mm3

• expected : 2017

3. Final SAFIR detector

- design to be confirmed / tuned also on first full ring experience (e.g. maybe improve the spatial resolution with new developed detector heads)
- full commissioning ... towards ~ secs acquisitions!!!
 - -41-





The same support foreseen for the full system will be used in the two small scale prototypes



conclusions



Conclusions

I have described the **SAFIR detector concept** and **its progress status** (software and hardware activities)

SAFIR: unconventional detector for hybrid PET/MRI acquisition with the dedicated goal of dynamic and simultaneous imaging at unprecedented temporal resolutions (target user: ETHZ/UniZh Institute of Biomedical Engineering)

Peculiarities of the detector :

500 MBq activity (wrt standard ~ 50 MBq)

excellent time resolutions : CRT ~ 300 - 500 ps FWHM (w/o being a TOF-PET)

heavy usage of SiPM sensors

(not peculiar to SAFIR, but standard nowadays in PET developments)

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What you were probably wondering at the beginning of this talk:

" Small Animals??? PET??? Magnetic resonance??? What all this has to do with a HEP Department seminar ???" Keywords of these slides :

calorimetry (measurement of gamma energy with crystals) ; scintillators ; photosensors ; operation in magnetic field ; SiPM ; readout ASIC chips ; high data rate ; fast DAQ ; 10's kchannels

-42-

Inveon preclinical PET scanner

CMS ECAL barrel





but not in the size!

many more similarities than it might look at first glance !

Chiara Casella, ETH IPP - CHIPP Annual Meeting - 30.06.2015

SAFIR collaboration

Institute for Particle Physics - ETH :

R. Becker, C. Casella, D. Di Calafiori, G. Dissertori, L. Djambazov, M. Droge, C. Haller, A. Howard, M.Ito, P. Katheri, J. Fischer, W. Lustermann, U. Roeser

Institute for Biomedical Engineering - ETH: M. Rudin

Institute for Pharmacology and toxicology - University Zurich : A. Buch, G. Warnock, B. Weber

> University of Valencia, IFIC : J. Oliver



Lab tools

analogue readout chain





Na-22 Spectrum



Digital SiPM (Philips)

- fully digital implementation of SiPM
- the electronics for each cell implemented on the same Si substrate of the sensor
- high resolution TDC (19.5 ps resolution)



Very good tool for :

- photon counters
- coincidence timing measurements



TOFPET performance : low energy contribution



Chiara Casella, ETH IPP - VCI2016 - 18.02.2016

- 1 -

TOFPET performance : low energy contribution



Low energy contribution

=> There is LIGHT CORRELATED WITH THE PHOTOPEAK EVENTS everywhere in the matrix Where does it come from?



• cross-talk between SiPM channels of the array (not related with the crystals)?

Magnetic Resonance Imaging (MRI)

- physics basis : **Nuclear Magnetic Resonance (NMR) :** absorption and re-emission of energy by nuclei at their own resonance frequency
- **H** : most abundant element in the body => primary focus of MRI: **H** spins







Extension of the prototype (STIC RO) to a full system





Chiara Casella

SINOGRAM

representation in (s, Φ) coordinates of all the LOR emitted from a given point in the FOV



Figure 4.1. Schematic representation of a ring scanner. A tube of response between two detectors d_a and d_b is represented in grey with the corresponding LOR, which connects the center of the front face of the two detectors. The sinogram variables s and ϕ define the locatioh and orientation of the LOR.







each row in a sinogram is the projection along the angle associated with that row

Single Slice Rebin [SSRB]



- for each source position (i) :

the full set of sinograms is rebinned to a single 2D sinogram approximation - but acceptable near the center of the scanner and for small apertures

Spatial resolution - NEMA prescription

- phantom : 1cm³ acrylic with 22-Na point source at the center
- low activity (Act = 100 kBq)
- moved axially / transaxially (5 mm steps)



a full set of sinograms for each axial position (accounting for all oblique configurations) rebinned into one single sinogram [SSRB]



1! sinograms for each i position





Sensitivity - NEMA prescription

Sensitivity = N_detected_coincs / N_annihilations

- phantom : 1 cm^3 acrylic with 22-Na point source at the center - low activity (Act = 100 kBq)
- moved axially over the full FOV
- for each source position (i) : 10000 true coincidences detected acquisition duration : time t_i N_annihilations (i) = Act * BR(=0.90) * t_i
- N_detected_coincs : through reconstructed sinograms
- for each source position (i) :

a full set of sinograms for each axial position (accounting for all oblique configurations) rebinned into one single sinogram [SSRB]















obtain the projection that would derive from such an object



obtain the projection that would derive from such an object



O conclusion



dSiPM : Digital SiPM (Philips)

- fully digital implementation of SiPM
- electronics on the same Si substrate as for the sensor
- on-board TDC (19.5 ps resolution)





interest of dSiPM for PET applications :

- High resolution timing information => TOF-PET
- Integration (bias supply included, amplifier, TDC, photon counter)
- Compactness
- Early digitization of the output => **Low noise**
- Digital => **Temperature and gain stability less critical** wrt analogue
- Fast active quenching => no Afterpulses.
- Possibility to disable individual cells => Reduction in the dark count rate (but lower PDE)



• MRI compatible

- 8x8 pixel matrix
- Each pixel contains 3200 (DLS3200) or 6400 (DLS6400) cells
- Pixel is 3.2 x 3.8 mm² (close to MPPC size)
- Digital device, i.e the output is directly the number of detected photons
- Each event is made of:
 - Die ID
 - timestamp
 - #photons in each pixel composing the die



99	tile connector		bond wires
ptxel 2 pixel 1 die 0 ptxel 3 ptxel 4	die 1	die 2	die 3
die 4	die 5	die 6	die 7
die 8	die 9	die 10	die 11
die 12	····die 13	die 14	die 15

(glass position)
Digital Silicon Photomultiplier (D-SiPM)

O conclusion

pixel (i.e. 3200/6400 diodes) state machine :



If the trigger is validated, the full readout starts - Σ < 1 µs => Rates ~ MHz can be sustained

Every non-validated trigger leads to the recharging of all cells. Without cooling, the device can loose efficiency/availability.

Digital vs Analogue SiPM

SiPM : intrinsically already a "digital" device



Advantages of digital vs analogue:

- integration (bias supply, amplifier, TDC, photon counter)
- compactness
- (very good timing resolution)
- early digitization of the cell output = low noise
- digital => Temp and Gain stability less critical
- fast active quenching => no afterpulses
- possibility to deactivate individual noisy cells = low dark count rate

Shared limitations digital / analogue :

- limited nr of cells => saturation
- high dark count rates



Drawbacks:

- cooling advisable / needed
- long readout time ($\sim 1 \ \mu s$) over a

Counter

- quite large detector surface (8x8 mm2)
- => deadtime / availability issues
- lack of flexibility: readout functionality is designed into the sensor; in case of mismatch with the needs expensive FPGA/sensor modifications required

3