Collider design issues based on proton-driven plasma wakefield acceleration

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Abstract

Recent simulations have shown that a high-energy proton bunch can excite strong plasma wakefields and accelerate a bunch of electrons to the energy frontier in a single stage of acceleration. It therefore paves the way towards a compact future collider design using the proton beams from existing high-energy proton machines, e.g. Tevatron or the LHC. This paper addresses some key issues in designing a compact electron-positron linear collider and an electron-proton collider based on existing CERN accelerator infrastructure.

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1. Introduction

With the recent discovery of the Higgs boson at the Large ³⁶ 2 Hadron Collider (LHC) at CERN [1, 2], the high energy physics ³⁷ community is looking forward to building a dedicated Higgs 38 factory, which may be an electron-positron (e^+e^-) linear col-³⁹ 5 lider for the precise measurement of the properties of the Higgs ⁴⁰ 6 particle, e.g., its mass, spin and the couplings and self-coupling ⁴¹ 7 with other particles and itself, etc. However, any energy fron-42 8 tier (TeV, or 10^{12} electronvolts) e^+e^- linear collider, i.e. ei-⁴³ 9 ther the International Linear Collider (ILC) or Compact Linear 44 10 Collider (CLIC) stretches for over 30 km and costs over multi-45 11 billion dollars. The sizes of these machines are heavily depen-⁴⁶ 12 dent on the length of the RF linac, which is subject to a max- 47 13 imum material breakdown field (of ~ 150 MeV/m) and is the ⁴⁸ 14 main cost driver for next generation linear colliders. The obvi-49 15 ous question is: can we make the future machine more compact 50 16 and cost effective? 17

In addition, the possibility of a lepton-hadron (e.g. ep) col-⁵² 18 lider at CERN has been of interest since the initial proposal of 53 19 the LHC. It has long been known that lepton-hadron collisions 54 20 play an important role in the exploration of the fundamental 55 21 structure of matter. For example, the quark-parton model orig- 56 22 inated from investigation of electron-nucleon scattering. The 57 23 current proposed LHeC design employs the LHC beams col- 58 24 liding with the electron beams from a newly designed energy 59 25 recovery linac (ERL) based ring or from a linac [3]. However, 60 26 this design is expensive, e.g. the ring based design needs about 61 27 9 km tunnel and a 19 km bending arcs. The electron beam 62 28 power is greater than 100 MW and it is not listed as the high ₆₃ 29 priority for the European strategy of particle physics, which has 64 30 been updated recently [4]. 31 65

The development of plasma accelerators has achieved 66 tremendous progress in the last decade. Laser wakefield ac- 67

beams with percentage energy spread with only a few centimeter plasma cell and the accelerating gradient (~ $100 \, GeV/m$) is over three orders of magnitude higher than the fields in conventional RF based structures [5]. Charged particle beam driven plasma wakefield acceleration (PWFA) has successfully demonstrated the energy doubling from 42 to 85 GeV of the electron beam from the Stanford Linear Collider (SLC) within a 85 cm plasma cell [6]. These significant breakthroughs have shown great promise to make a future machine more compact and cheaper. Based on these LWFA/PWFA schemes, a future energy frontier linear collider will consist of multi-stages, on the order of 100/50, to reach the TeV energy scale with each stage yielding energy gains of ~ 10/20 GeV. It should be noted that the multi-stage scheme introduces new challenges such as tight synchronization and alignment requirements of the drive and witness bunches and of each accelerator module (plasma cell). Staging also means a gradient dilution due to long distances required between each accelerator module for coupling new drive bunches and to capture and refocus the very short beta function witness bunches [7].

celerators (LWFAs) can routinely produce ~ GeV electron

Proton driven plasma wakefield acceleration (PDPWA) has been recently proposed as a means to accelerate a bunch of electrons to the energy frontier in a single stage of acceleration [8, 9]. The advantages of using the proton beam as driver compared to other drive beams like electron beams and laser beams lie in the fact of the availability of high-energy proton beams and of the extremely high energies stored in current proton beams. For instance, the energy stored at a TeV LHC-like proton bunch is in general more than two orders of magnitude higher than that of the nowadays maximum energies of electron bunches or a laser pulse. Particle-in-cell (PIC) simulations have shown that a 1 TeV LHC-like proton bunch, if compressed longitudinally to 100 microns, may become an ideal drive beam

and can excite a plasma wakefield with an average accelerat-123 68 ing field of ~ 2 GeV/m. Surfing on the right phase, a bunch of 124 69 electrons can sample the plasma wakefields and gain energies125 70 up to 600 GeV in a single passage of a 500 m plasma [8]. Al-126 71 though the peak gradient is modest compared to LWFA/PWFA127 72 schemes, it is very similar to the average gradient of a PWFA₁₂₈ 73 based collider and is reached at relatively low plasma density, 129 74 i.e. in the range of $10^{14} - 10^{15} \text{ cm}^{-3}$. This relatively low plasma₁₃₀ 75 density leads to a relatively large accelerating structure, which₁₃₁ 76 can potentially relax the temporal and spatial alignment toler-132 77 ances, as well as the witness beam parameters. If this scheme133 78 can be demonstrated, it will point to a new way for a compact₁₃₄ 79 TeV collider design based at existing TeV proton machines, e.g.135 80 the CERN accelerator complex. Compared to LWFA/PWFA136 81 based collider designs, this will greatly reduce the stringent re-137 82 quirement on the alignment and synchronization of the multi-138 83 stage accelerator modules. 84 139

However, one hurdle in above scheme is the proton bunch₁₄₀ 85 compression. Bunch compression via a magnetic chicane is a_{141} 86 widely used methods to compress the electron bunch to sub-142 87 millimetre scale. However, it is non-trivial to adopt this idea143 88 and while still keeping a bunch charge constant. It turns out₁₄₄ 89 that a large amount of RF power is needed to provide the energy₁₄₅ 90 chirp along the bunch and large dipole magnets are required to146 91 offer the energy-path correlation. Simulation shows that $4 km_{147}$ 92 of RF cavities are required to do this task [10]. This seems not₁₄₈ 93 practical. And then, do we have other options to compress the149 94 bunch? Yes, ask plasma for help. 95 150

2. Self-modulation of a long proton bunch

It has long been known that a long laser pulse can be modu-154 97 lated by a high-density plasma. This so-called self-modulated₁₅₅ 98 laser wakefield acceleration (SM-LWFA) has sustained the156 99 large wakefield amplitude of $100 \, GeV/m$ [11]. In this scenario, 157 100 the SM process occurs due to forward Raman scattering, i.e., 158 101 the laser light scatters on the noise at the plasma period, which₁₅₉ 102 results in a wave shift by the plasma frequency. The two waves 103 then beat together to drive the plasma wave. Eventually the 104 long pulse is split into many ultra-short slices with a length¹⁶⁰ 105 of half of the plasma wavelength and with each separated by 106 a plasma wavelength (note that the plasma wavelength is in-107 versely proportional to the square root of the plasma density). $\frac{1}{163}$ 108 Similarly, when a long proton bunch enters into a plasma, the 109 protons at the bunch head excite plasma wakefields. The trans-110 verse plasma wakefields can then focus and defocus the body 111 of the driver bunch. In the case of a drive bunch much longer 112 than the plasma wavelength, the bunch is subject to focusing $\frac{1}{100}$ 113 and defocusing forces along the whole beam. The overall effect 114 is that the long beam is modulated by the wakefields it pro-115 duces. The resulting bunches have a slice length of half of the $\frac{1}{171}$ 116 plasma wavelength, may contain a small portion of protons, 117 with a distance of a plasma wavelength between each slice. 118 Further investigation shows that it takes time for the modula-119 tion to occur, however, once the modulation starts and eventu-172 120 ally saturates, these ultrashort proton bunch slices will excite¹⁷³ 121 plasma wakefields and the fields will add up coherently [12].174 122

Recent simulations show that the maximum wakefield amplitude from a modulated proton bunch is comparable to that of a short bunch driver. For example, an LHC beam with a beam energy of 7 TeV, a bunch intensity of 1.15×10^{11} and an rms bunch length of 7.55 cm can excite wakefields with maximum amplitude of $\sim 1.5 GeV/m$ working in self-modulation regime at a plasma density of $3 \times 10^{15} cm^{-3}$. An externally injected electron bunch will be accelerated up to 6 TeV after propagating through a 10 km plasma [13]. This indicates that one may achieve a very high-energy electron beam by using todays long and high-energy proton bunch directly as drive beam, assuming we could make such a long plasma source for the experiment. Based on this self-modulated proton driven plasma wakefield acceleration scheme, future colliders, either an e^+e^- collider or an e - p collider can be conceived.

It should be noted that the recent proposed AWAKE experiment will test this PDPWA scheme by using the proton beam from CERN SPS [14]. In this experiment, a $450 \, GeV$ proton bunch enters a ~ 10 *m* plasma. The self-modulation of the long proton bunch will be experimentally observed and an externally injected witness electron beam with a beam energy of 10-20 MeV will be accelerated by the plasma wakefields and gain an energy of about 2 GeV. The AWAKE experiment at CERN will shed light on the future compact collider design from experimental point of view in the next several years [15].

In this paper, we discuss some key issues in the design of a compact, multi-TeV collider in which an e^+e^- linear collider and a high-energy ep collider based on the PDPWA scheme are taken into account. Two important parameters, i.e. center-ofmass energy and luminosity are discussed in section 3. Section 4 gives an example design of a $2 TeV e^+e^-$ linear collider based at the LHC tunnel. An ep collider design consideration is introduced in section 5. Section 6 discusses some key issues, e.g. phase slippage, proton beam guiding in long plasma, electron scattering in plasma and positron acceleration in the collider design based on PDPWA scheme. Some other novel collider schemes based on PDPWA are also introduced in section 7.

3. Center-of-mass energy and luminosity

There are two figures of merit for future colliders that characterize the interactions between two colliding beams, one is the center-of-mass (CoM) energy and the other is the luminosity. The CoM energy is determined by the interesting physics process to be studied, while the luminosity gives the production rate for a particle of interest and therefore it determines the performance of a collider. For the electron-positron linear collider, the CoM energy is $E_{com} = 2E_b$, here E_b is the energy per beam and we assume that the energies of electrons and positrons are exactly the same. And for an electron-proton collider, the CoM energy is given by,

$$E_{com} = 2\sqrt{E_e E_p},\tag{1}$$

where E_e and E_p are the beam energy for electrons and protons, respectively. As the main design parameter for a linear collider, the next e^+e^- collider is envisioned to be at the TeV scale with

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a luminosity of $10^{34} cm^{-2} s^{-1}$. For two Gaussian beams of elec-223 trons and positrons, the luminosity is given by, 224

$$\mathcal{L} = f \frac{N^+ N^-}{4\pi \sigma_x^* \sigma_y^*}, \qquad (2)^{226}_{227}$$

where *f* denotes the collision rate (frequency) of beam, N^+ and²²⁸ N^- the bunch population for electrons and positrons ($N^+ = N^ N^- = N$ if the bunch population for electrons and positrons are the same), σ_x^* and σ_y^* are the horizontal and vertical beam spot sizes at the interaction point (IP). The luminosity can be easily rewritten using the beam power, P_b :

$$\mathcal{L} = \frac{P_b N}{4\pi E_b \sigma_x^* \sigma_y^*}.$$
(3)

From Eq.(2), one can conclude that for a fixed IP design, i.e. 183 fixed beam energy and beam spot sizes at the IP, the luminos-184 ity is proportional to the average power of the beam and the 185 number of particles per bunch. The average beam power for 186 the current ILC of 500 GeV CoM is about 10 MW with a bunch 187 population of 10^{10} , a repetition rate of 10 kHz and with each 188 bunch energy of $\sim kJ$. In order to obtain the required luminos-189 ity of $10^{34} cm^{-2} s^{-1}$ in a TeV collider based on plasma wakefield 190 acceleration scheme, the average power of the drive beam needs 191 to be larger than 10 MW since the coupling efficiency from the₂₂₉ 192 drive beam to witness beam is less than unity. The beam power₂₃₀ 193 of current high-energy proton machines, e.g., Tevatron or the₂₃₁ 194 LHC is much larger than this value. Table 1 gives the com-195 parison of beam specifications between the current proton ma-233 196 chines and the lepton machines. One can see clearly that the $_{234}$ 197 stored bunch energies for current hadron machines are about₂₃₅ 198 two to three orders of magnitude higher than that for the current 199 most energetic electron machine FACET and the planned facil-200 ities such as ILC and CLIC. If the energy coupling efficiency 201 is about percentage level from the drive beam (protons) to the $_{239}$ 202 witness beam (electrons) via plasma wakefields, one could ex-240 203 pect to achieve the beams specifications for an e^+e^- or an $e^-p_{_{241}}$ 204 collider. 205 242

206 4. An electron-positron linear collider

As we mentioned earlier, a modulated high-energy proton²⁴⁶ 207 bunch can produce a high amplitude plasma wakefield and ac-247 208 celerate a trailing electron bunch to the energy frontier in a²⁴⁸ 209 single stage of acceleration. Latest simulations show that a249 210 positron beam can also be accelerated in the wakefield from a250 211 modulated long proton bunch [16]. We can therefore conceive251 212 of a TeV e^+e^- collider design based on this self-modulation²⁵² 213 scheme. Simulation indicates that in this case the excited wake-253 214 field always shows a decay pattern. This is mainly due to the254 215 phase shift between the resulting bunch slices and the phase255 216 of the wakefields excited. To overcome the field decay, a256 217 plasma density step-up procedure is introduced to compensate257 218 the phase change and eventually a stable and nearly constant₂₅₈ 219 field is achieved. Recent study shows that in this case the accel-259 220 eration process is almost linear [13]. If we could make a $2 km_{260}$ 221 plasma (take into account the LHC radius of 4.3 km and the261 222

focusing of the beam before the plasmas and the beam deliveries and IPs may need some space), we may be able to achieve 1 TeV electron and positron beams from the LHC beams. Fig.1 shows a schematic layout of a 2 TeV CoM energy e^+e^- collider located at the LHC tunnel, with the plasma accelerator cells marked in red.



Figure 1: Schematic layout of a 2 TeV CoM electron-positron linear collider based on a modulated proton-driven plasma wakefield acceleration.

In this design, the proton extraction beam lines, located at both ends of a straight tunnel within LHC are needed to extract and guide the beam to the plasma cells. Before entering the plasma cells, the beam lines are designed to focus the proton beams so as to match the plasma focusing force. After that the proton bunches shoot into preformed plasmas and excite the wakefields. We expect that after a few metres propagation in the plasma and together with a plasma density step-up, a full beam modulation is finally set up and constant wakefields are excited. Electrons and positrons will be injected into the plasma with a correct phase (e.g. via tuning the positions and angles of the injected beams, etc.) and sample the wakefields and accelerate. After 2 km in plasma, a 1 TeV electron beam and positron beam will be produced, here we assume that the average accelerating field in the plasma is ~ 0.5 GeV/m, which is quite modest according to simulation results given in Ref. [13]. A 2 km beam delivery system for both electrons and positrons will transport and focus the electrons and positrons to the IP, which is located in the middle of the tunnel, for collisions. After interactions with the plasmas, the proton bunches will be extracted and dumped. These spent protons may also be recycled by the cutting-edge technologies, e.g. FFAG-based energy recovery [17] for reuse as the driver beam or used to trigger the nuclear power plants [18].

For this PDPWA-based e^+e^- collider design, half of the LHC bunches (1404 bunches) are used for driving electron acceleration and the other half for positron acceleration. Taking into account the ramping time of the LHC is about 20 minutes and assuming the loaded electron (and positron) have a bunch charge of 10% of the drive proton bunch, i.e. electron (and positron) bunch charge of $N_e = 1.15 \times 10^{10}$, and the beam spot sizes at IP are the same as that of the CLIC beam, as shown in Table 1, the resulting luminosity for such an e^+e^- linear collider is about

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Table 1: Parameters of particle beams in present and planned facilities.

	FACET	ILC	CLIC	SPS	Tevatron	LHC
Beam energy (GeV)	25	250	1500	450	1000	7000
Luminosity $(10^{34} \text{ cm}^{-2} s^{-1})$	-	2	6	-	0.04	1
Bunch intensity (10^{10})	2.0	2.0	0.372	13	27	11.5
Bunches per beam	1	2625	312	288	36	2808
IP bunch length (μ m)	30	300	30	1.2E5	350	7.5E4
IP beam sizes $\sigma_x^* / \sigma_y^* (nm)$	1.4E4/6.0E3	474/5.9	40/1	200	3.3E4	1.6E4
Rep rate (Hz)	1	5	50	-	1	1
Stored bunch energy (kJ)	0.08	0.8	0.89	9.4	43	129
Beam power (W)	80	1.05E7	1.39E7	-	5.49E7	3.62E8

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²⁶² $3.0 \times 10^{31} cm^{-2} s^{-1}$, which is about 3 orders of magnitude lower²⁹⁷ than that of the ILC or the CLIC. ²⁹⁸

5. An electron-proton collider

One could also envisage an *ep* collider design based on this scheme utilizing the CERN accelerator complex. The advantage of this design is based on the fact that the plasma-based³⁰² option may be more compact and cheaper since it does not need³⁰³ to build an expensive and conventional 60 GeV electron accel-³⁰⁴ erator, as proposed at the current LHeC design [3].

In one of our designs, the SPS beam is used as the drive beam³⁰⁶ 271 for plasma wakefield excitation. The reason for that is due to the307 272 long LHC beam ramping time (20 minutes). During the LHC³⁰⁸ 273 beam energy ramping up from 450 GeV to 7 TeV, the SPS can³⁰⁹ 274 prepare the drive beams (ramping time of LHC preinjectors is³¹⁰ 275 about 20 seconds) and then excite the wakefields and accelerate³¹¹ 276 an externally injected low energy (e.g., tens of MeV) electron³¹² 277 beam. When the accelerated electron beam is ready, it can be313 278 delivered to the collision points in the LHC tunnel for electron-314 279 proton collision. PIC simulation shows that working in the self-280 modulation regime, the wakefield amplitude of 1 GeV/m can be 281 achieved by using the SPS beam at an optimum condition (both^{3°} 282 the beam and plasma parameters are optimized) [19]. Similar 283 to the e^+e^- collider design, the SPS beam needs to be guided³¹⁶ 284 to the plasma cell. Prior to the plasma cell, a focusing beam³¹⁷ 285 line is needed to match the beam with the plasma beta function.³¹⁸ 286 A ~170 m plasma cell is used to accelerate the electron beam³¹⁹ 287 energy to 100 GeV. The energetic electrons are then extracted to320 288 collide with the circulating 7 TeV proton beam. This parasitic³²¹ 289 ep collision mode should allow LHC proton-proton collisions322 290 to continue in parallel. 323 291

²⁹² The CoM energy in this case is given by,

$$\sqrt{s} = 2\sqrt{E_e E_p} = 1.67 TeV. \tag{4}^{326}_{327}$$

The CoM energy in this design is about a factor of 1.2 higher³²⁸ than the current LHeC design and a factor of 5.5 higher than³²⁹ the late HERA [20]. The luminosity of an ep collider for round³³⁰ and transversely matched beams is given by [21], ³³¹

$$\mathcal{L}_{ep} = \frac{1}{4\pi} \frac{P_e}{E_e} \frac{N_p}{\epsilon_p^N} \frac{\gamma_p}{\beta_p^*},\tag{5}_{334}^{333}$$

where P_e is electron beam power, E_e is electron beam energy, N_p is the number of particles in the proton bunch, ϵ_p^N is the normalized emittance of the proton beam, γ_p is the Lorentz factor and β_p^* is beta function of the proton beam at the interaction point. The electron beam power is given by,

$$P_e = N_e E_e n_b F_{rep},\tag{6}$$

where N_e is the number of particles in the electron bunch, n_b is the number of bunches in the linac pulse and f_{rep} is the repetition rate of the linac. Using the LHC beam parameters, for example, $N_p = 1.15 \times 10^{11}$, $\gamma_p = 7460$, $\beta_p^* = 0.1 m$, $\epsilon_p^N = 3.5 \,\mu m$ and assuming the electron beam parameters as follows: $Ne = 1.15 \times 10^{10}$ (10% of the loaded drive bunch charge), $E_e = 100 \text{ GeV}$, $n_b = 288 \text{ and } f_{rep} \approx 15$, the calculated luminosity of the electron proton collider is about $1 \times 10^{30} \, \text{cm}^{-2} s^{-1}$ for this design, which is about 3-4 orders of magnitude lower than the current LHeC design of 10^{33} or even $10^{34} \text{cm}^{-2} s^{-1}$. However, if one can increase the electron bunch intensity and the repetition rate, it may be possible to get a higher luminosity ep collider based at CERN accelerator complex.

6. Some key issues in collider design

6.1. Phase slippage

Surfing on the right phase of the plasma wakefields driven by high-energy proton bunches, the electrons can be quickly accelerated to the relativistic energy regime. Due to the heavy mass of protons, the relativistic factor γ of a TeV proton beam is smaller than that of an electron beam with energy of 1 GeV. Therefore the electrons may overrun the wakefields (the group velocity of the wakefields is the same as the velocity of the driver) and the acceleration process will be terminated. This phase slippage (dephasing) effect therefore becomes a limiting factor for a PDPWA-based collider, especially when a single plasma acceleration length stretches over kilo meters. We estimate in the following the conditions to avoid significant dephasing in a PDPWA based collider design. To simplify the problem, we assume the wakefield structure in the co-moving frame does not evolve in time. It means that the protons (electrons) experience a constant deceleration (acceleration) field of magnitude E_{dec} (E_{acc}). The rate of change of proton (with charge q) and electron (with charge e) energy are written as

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$$\frac{d(\gamma_i m_i c^2)}{dt} = -q E_{dec} v_i, \tag{7}^{354}_{355}$$

$$\frac{d(\gamma_e m_e c^2)}{dt} = e E_{acc} v_e, \tag{8}^{356}_{358}$$

where γ_i , m_i and ν_i are the relativistic gamma factor, mass and ν_i velocity of proton, respectively. γ_e , m_e and ν_e are the relativistic gamma factor, mass and velocity of electron, respectively, and ν_i gamma factor, mass and velocity of electron, respectively, and ν_i c is the speed of light.

The relative position change between an electron and a pro-363ton at a time *T* is given by [22] 364

$$\Delta s = \int_0^T (\nu_e - \nu_i) dt = \frac{m_e c^2}{e} \Big[\frac{\gamma_{ef} - \gamma_{e0}}{E_{acc}} + \frac{m_i e}{m_e q} \frac{\gamma_{if} - \gamma_{i0}}{E_{dec}} \Big], \quad (9)$$

where γ_{e0} , γ_{ef} are the relativistic factor of the initial and final electron energies, γ_{i0} , γ_{if} are the relativistic factor of the initial and final proton energies.

The equations for the momentum are

$$\frac{d(\gamma_i m_i v_i)}{dt} = -q E_{dec}, \qquad (10)_{370}^{369}$$

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$$\frac{d(\gamma_e m_e \nu_e)}{dt} = e E_{acc}.$$
 (11)³⁷²₃₇₃

Integrating the above momentum equations from 0 to T gives³⁷⁴

$$m_i c \Big(\sqrt{\gamma_{if}^2 - 1} - \sqrt{\gamma_{i0}^2 - 1} \Big) = -q E_{dec} T, \qquad (12)_{377}^{376}$$

$$m_e c \left(\sqrt{\gamma_{ef}^2 - 1} - \sqrt{\gamma_{e0}^2 - 1} \right) = e E_{acc} T, \qquad (13)_{380}^{37}$$

³⁴⁶ Combining the above two equations, we have

$$\Delta s = \frac{m_e c^2}{e E_{acc}} (\gamma_{ef} - \gamma_{e0}) \left[1 - \frac{\left(\sqrt{\gamma_{ef}^2 - 1} - \sqrt{\gamma_{e0}^2 - 1}\right)(\gamma_{if} - \gamma_{i0})}{\left(\sqrt{\gamma_{if}^2 - 1} - \sqrt{\gamma_{i0}^2 - 1}\right)(\gamma_{ef} - \gamma_{e0})} \right]_{385}^{383}$$

It is worth noting that the relative position depends on the³⁸⁶ plasma density implicitly through the accelerating field E_{acc} . It³⁸⁷ also depends on the initial and final energies of the proton and³⁸⁸ electron. For the case $\gamma_{ef} \gg \gamma_{e0} \gg 1$, the above equation can³⁸⁹ be written as

$$\Delta s \approx \frac{m_e c^2}{e E_{acc}} \left(\gamma_{ef} - \gamma_{e0} \right) \left[1 - \frac{(\gamma_{if} - \gamma_{i0})}{\left(\sqrt{\gamma_{if}^2 - 1} - \sqrt{\gamma_{i0}^2 - 1}\right)} \right] \quad (15)_{393}^{392}$$

³⁵² We can rewrite it in a phase slippage as

$$\delta = k_p \Delta s \approx \frac{1}{eE_{acc}/m_e c\omega_p} \left(\gamma_{ef} - \gamma_{e0}\right) \left[1 - \frac{(\gamma_{if} - \gamma_{i0})}{\left(\sqrt{\gamma_{if}^2 - 1} - \sqrt{\gamma_{i0}^2 - 1}\right)^{\frac{3}{4}}_{401}} \right]_{401}^{\frac{3}{4}}$$
(16)402

where $k_p = \omega_p/c$ is the plasma wave number, $\omega_p = (n_p e^2/\epsilon_0 m_e)^{1/2}$ is the plasma electron frequency, n_p and ϵ_0 are the plasma density and the permittivity of free space, respectively. To avoid phase slippage over acceleration length L, δ must be less than π , otherwise the electrons will overrun the protons. For a single stage PDPWA based $e^+ - e^-$ collider design, a 7 TeV LHC proton beam will excite plasma wakefields and accelerate bunches of electrons to 1 TeV (assuming electron injection energy of 10 GeV which is far less than 1 TeV), $\gamma_{i0} \approx 7000$, $\gamma ef - \gamma e0 \approx 2 \times 10^6$. If we assume that the amplitude of wakefields is $eE_{acc}/m_e c\omega_p \sim 1$, then the phase slippage is

$$k_p \Delta s = 2 \times 10^6 \bigg[1 - (\gamma_{if} - 7000) / \bigg(\sqrt{\gamma_{if}^2 - 1} - \sqrt{7000^2 - 1} \bigg) \bigg].$$
(17)

The calculation shows that the phase slippage length (or maximum acceleration length) is about ~ 4 km assuming the plasma density of $10^{15} cm^{-3}$ for a final proton beam energy of around 1 TeV. Therefore a 2 km acceleration channel meets the phase slippage requirement for an $e^+ - e^-$ collider design.

Since the SPS beam energy is much lower than the 7 TeV LHC beam, phase slippage may become a problem if it is used as drive beam in a PDPWA based collider design. Here we consider two cases, one is to use SPS beam to accelerate the electron beam up to 500 GeV and the other to 100 GeV. The phase slippage for the above two cases are shown in Fig. 2. For a 500 GeV electron acceleration case, the final energy of proton beam should be larger than 330 GeV so as to satisfy the phase slippage requirement. If we use the average accelerating (decelerating) field of ~ 1 GeV/m (the plasma density is $10^{15} cm^{-3}$), the maximum dephasing length is about 170 m. This provides the basic parameter to design such an acceleration stage. For a 100 GeV electron beam production, the phase slippage is always in the safe region. Therefore for a SPS drive beam, producing a 100 GeV beam seems reasonable.

6.2. Proton propagation in the plasma

To accelerate electrons (or positrons) to TeV energies, the acceleration length of a plasma cell needs to be of the order of several hundred or a few thousand meters, assuming that the average accelerating gradient of ~ 1 GeV/m. In this case, the drive beam needs to propagate stably in such a long plasma cell without significant spreading. In vacuum, the beta function of the beam is $\beta_b = \beta \gamma \sigma_r^2 / \epsilon_n$, here β and γ are the relativistic factors of the drive beam and σ_r and ϵ_n are the *rms* size and the normalized emittance of the drive beam, respectively. Taking the LHC beam as an example, $\beta \approx 1$, $\gamma \approx 7000$, $\sigma_r = 100 \,\mu m$, $\epsilon_n = 3.5 \, mm \, mrad$, one has $\beta_b = 20 \, m$, which is far less than the required acceleration length. Therefore it is clear that some sort of transverse focusing is required in order to guide the drive beam to propagate such a long distance. In principle, the transverse focusing can be external, e.g. by quadrupole magnets as in Ref. [8] or from the focusing force due to the transverse plasma wakefields. On the other hand, when the proton bunch

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Figure 2: Phase slippage between the SPS proton beam and the electron beam as a function of γ_i of the proton drive beam for a single $500 \, GeV$ stage and $100 \, GeV$ stage electron beam production.

⁴⁰³ propagates in the plasma, the finite momentum spread will in ⁴⁴⁰ duce a lengthening of the bunch. This can be evaluated for
 ⁴⁴¹ vacuum propagation as follows:

$$\Delta d \approx \frac{L}{2\Delta\gamma^2} \approx \frac{\Delta p}{p} \frac{m_p^2 c^4}{p^2 c^2} L \tag{18}$$

where Δd is the spatial spread of the particles in the bunch in-406 duced by the finite momentum spread $\Delta p/p$, L is the distance 407 travelled in the vacuum, m_p is the proton mass, p is the proton₄₅₂ 408 momentum and c is the speed of light. For a 7 TeV LHC proton⁴³² 409 beam, $\Delta p/p = 10^{-4}$, the momentum spread leads to a growth of $\frac{1}{454}$ 410 about 0.01 $\mu m m^{-1}$, which is negligible. Therefore large relative 411 momentum spreads will still allow for long plasma-acceleration 412 stages provided the drive beam is ultra relativistic. 413

414 6.3. Electron-plasma interations

For any above-mentioned TeV class collider design, the 415 length of the plasma source is $\sim km$. One may have to con-416 sider the electron scattering effects inside the long plasma cell. 417 An electron beam travelling through the plasma channel 418 might undergo elastic and inelastic interactions with the plasma 419 ions and plasma electrons with interaction cross sections de-420 pending on the beam energy and the characteristics of the 421 plasma. In this section, the elastic scattering between the beam 422 electrons and the plasma ions was investigated regarding the 423 resulting emittance growth in the electron beam. Assuming the a_{455} 424 plasma ions are stationary compared to the relativistic electrons,456 425 electrons are deflected by the nuclei via Coulomb scattering₄₅₇ 426 with the given scattering cross section, 427 458

$$\frac{d\sigma}{d\Omega} \approx (\frac{2Zr_0}{\gamma})^2 \frac{1}{(\theta^2 + \theta_{min}^2)^2}$$
(19)⁴⁵⁹₄₆₀(19)⁴⁵⁹₄₆₀(19)⁴⁵⁹₄₆₁(19)⁴⁵⁹₄₆₁(19)⁴⁵⁹₄₆₁(19)⁴⁵⁹₄₆₀(

where Z is the atomic number,
$$r_0$$
 is the classical electron radius,
 θ is the scattering angle, and $\theta_{min} \approx \hbar/pa$, where a is the atomic 463

radius given by $a \approx 1.4\hbar^2/m_e e^2 Z^{1/3}$, and *p* is the incident particle momentum.

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The emittance growth caused by the elastic interaction of the
electron beam and the plasma ions can be derived considering
previous work on beam-gas scattering in a damping ring [23].
Therefore the emittance evolution of the electron beam inside
the plasma cell can be written as the following,

$$\gamma \epsilon_{x,y}(t) = \gamma(t) \frac{\tau}{2} \overline{\mathcal{N}\langle \theta_{x,y}^2 \rangle \beta_{x,y}}$$
(20)

where N is the scattering rate, $\langle \theta^2 \rangle$ is the expected value of θ^2 and bar denotes the average along the plasma section. Simulations have shown that the energy of the electron beam linearly increases in the plasma channel as a function of time *t* [13]. If γ_0 is the energy of the beam in the entrance of the plasma section, *g* is the rate of change of γ . The following relation can be assumed for a beam accelerating linearly in the plasma channel:

$$\gamma(t) = gt + \gamma_0 \tag{21}$$

For the time being, the damping term in the original approach will be modified by replacing the damping factor (emittance evolution in a damping ring $\epsilon_y(t) = \epsilon_y(0)exp(-2(t/\tau_y))$ where $\tau_y/2$ is time duration when the vertical emittance reduces down to a factor of 1/e of its initial value.) ($\tau_y/2$) with τ , the time duration that the beam travels in the plasma channel. $\mathcal{N}\langle\theta^2\rangle$ is given as Eq. 22 where n_{gas} is the number density of the gas,

$$\mathcal{N}\langle\theta^2\rangle = cn_{gas} \int_0^{\theta_{max}} \frac{d\sigma}{d\Omega} \pi \theta^3 d\theta.$$
 (22)

Consequently, the emittance evolution can be written as taking into account only the elastic scattering of the electrons by the nuclei in the plasma as given in Eq. (23) by substituting Eq. (21) and Eq. (22) into Eq. (20).

$$\Delta \epsilon_{n,scattering}(t) = (gt + \gamma_0)$$

$$\times \frac{\tau}{2} \langle cn_{gas}\beta \int_0^{\theta_{max}} (\frac{2Zr_0}{(gt + \gamma_0)})^2 \frac{1}{(\theta^2 + \theta_{min}^2)^2} \pi \theta^3 d\theta \rangle$$

$$= (gt + \gamma_0) (\frac{2Zr_0}{(gt + \gamma_0)})^2 \frac{\tau}{2} \langle cn_{gas}\beta \int_0^{\theta_{max}} \frac{1}{(\theta^2 + \theta_{min}^2)^2} \pi \theta^3 d\theta \rangle$$

$$= \frac{(2Zr_0)^2}{gt + \gamma_0} \frac{\tau}{2} \langle cn_{gas}\beta \rangle \frac{\pi}{2\theta_{max}}$$

$$\times [3\theta_{min} tan^{-1}(\frac{\theta_{max}}{\theta_{min}}) + \theta_{max}(log(\theta_{min}^2 + \theta_{max}^2) - 2)] \qquad (23)$$

The evolution of the emittance contribution from the beamnuclei scattering is shown in Fig.3 as a function of the distance travelled in the plasma in the presence of different plasma forming gasses. Regardless of the element under consideration, commonly the emittance growth falls rapidly with the linearly increasing energy through the plasma channel. In this study, the initial energy of the electron beam at the entrance of the plasma section is 10 *GeV*. The emittance contribution from scattering with the Rb (Z=37) nuclei is $3 \mu m$ at this initial stage. Whereas, ⁴⁶⁴ it decreases down to $0.01 \,\mu m$ in the exit of the plasma section⁴⁹⁸ ⁴⁶⁵ where the beam is accelerated up to an energy of $1 \, TeV$. The⁴⁹⁹ ⁴⁶⁶ contribution to the emittance is shown to be two orders of mag-⁵⁰⁰ ⁴⁶⁷ nitude lower in the case of a lower-Z element, Li (Z=3). The⁵⁰¹ ⁴⁶⁸ total emittance, at any time during the plasma acceleration, can⁵⁰² ⁴⁶⁹ be calculated through a quadratic sum of the contribution due⁵⁰³ ⁴⁷⁰ to scattering and the design emittance, as shown in Eq.24. ⁵⁰⁴

$$\epsilon_{n, total} = \sqrt{\epsilon_{n, design}^2 + \Delta \epsilon_{n, scattering}^2}$$
(24)⁵⁰⁶



Figure 3: The evolution of the emittance contribution from Coulomb scattering of the beam electrons by the plasma ions as a function of the distance travelled ⁵²¹ in a Rb (Z = 37) and Li (Z = 3) plasma.

The beam-plasma interaction is under further investigation₅₂₄ in order to quantify the energy loss and the energy spread of₅₂₅ the witness beam through the elastic scattering with the plasma₅₂₆ electrons and the inelastic scattering with both plasma electrons₅₂₇ and ions. 528

476 6.4. Positron acceleration in PDPWA

Simulations have shown that a bunch of electrons can be⁵³¹ 477 accelerated by the either a compressed proton-driven plasma 478 wakefield acceleration scheme [8] or by a long proton bunch⁵³³ 479 driven wakefield in a self-modulation regime [13]. However, 480 535 for any e^+e^- linear collider design, a high-energy positron beam 481 536 is also required for beam collision. The positron acceleration 482 still needs to be investigated in more detail. More recently⁵³⁷ 483 a new scheme for accelerating positively charged particles in 484 a plasma-wakefield accelerator has been proposed by Yi et al 485 [24]. In this scheme, the proton drive bunch propagates in a° 486 hollow plasma channel, and the channel radius is of the order 487 of the beam radius. The space charge force of the driver beam⁵⁴² 488 causes charge separation at the channel wall, which helps to⁵⁴³ 489 focus the positively-charged witness bunch propagating along⁵⁴⁴ 490 the beam axis. In the plasma channel, the acceleration buck-491 ets for positively charged particles are much larger than in the 492 blowout regime of the uniform plasma, and a stable accelera-546 493 tion over long distance is possible. In addition, the phasing of 494 the witness with respect to the wave can be tuned by changing547 495 the radius of the channel to ensure the acceleration is optimal.548 496 The performed two-dimensional simulations have shown that549 497

a 2 *TeV* LHC-like beam, longitudinally compressed to $100\mu m$, with a bunch intensity 10^{11} and energy spread 10% can excite a strong wakefield and accelerate a witness 2 TeV proton bunch with bunch charge of 1 nC, injected at 0.75 mm behind the drive beam, over 1 km in a hollow plasma channel with the plasma density of $6 \times 10^{14} cm^{-3}$. The resulting energy gain for the witness proton beam is over 1.3 TeV in a 1 km plasma channel. At high energies, protons behave very similarly to positrons; the positrons can certainly be accelerated with this scheme. The detailed *3D PIC* simulations are now underway to verify the positron acceleration effect in a hollow plasma channel.

7. Other novel ideas

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Many novel ideas have emerged since the PDPWA concept has been proposed. Recent simulations have shown that a $10 \sim 100 \, GeV$ proton bunch with a bunch length less than $100\,\mu m$ can be generated with a laser intensity of $10^{22} W/cm^2$ via a so-called snowplow regime of the laser-driven wakefield acceleration [25]. One may think of injecting such a short and high-energy proton bunch into a fast cycling synchrotron to boost the beam energy quickly (up to $\sim TeV$) while keeping the short proton bunch length. This resulting high energy, short proton bunch may be used as an ideal driver to resonantly excite a large amplitude plasma wakefield for electron beam acceleration and for a collider design based on the PDPWA scheme. This method may also serve as a preparation for the TeV regime acceleration of protons over centimeters with a laser pulse with peak power of $10^{23} W/cm^2$, e.g. a laser from the Extreme Light Infrastructure-ELI which is under construction [26]. Servi proposed a multi-TeV upgrade concept for the ILC based on PDPWA scheme [18]. In this concept the proton bunches are accelerated together with electrons and positrons simultaneously by employing the ILC technology (1.3 GHz superconducting RFs). A special beamline arrangement would allow control of proton phase slippage, separation and merging of proton and electron (positron) bunches via dual-path chicanes, as well as ballistic compression of the proton bunches. This approach may open a path for the ILC to a much higher energy upgrade to several TeVs. Yakimenko et al also discussed a possible solution to a TeV CoM e^+e^- linear collider design based on PDPWA concept. Such an e^+e^- collider may use the proton beams as driver from Tevatron and fit into a 6.3 km tunnel. In this scheme, a high average power proton drive beam is required for exciting the plasma wakfields for electron and positron beam acceleration. The spent proton beams (with significant amount of energy) will be recycled for further energy boost to 1 TeV by the FFAG fast cycling rings [17]. This scheme may be able to increase the collision repetition rate and therefore the collider luminosity significantly.

8. Conclusions

Simulations have shown that either a longitudinally compressed (e.g. $100 \,\mu m$) or an uncompressed long proton bunch can be used to drive a large amplitude plasma wakefields and

accelerate an electron beam to the energy frontier in a single 550 stage. We therefore conceive of an e^+e^- collider and an ep col-551 lider design based on this scheme. Using the LHC beam as 552 the drive beam, it is possible to design a 2 TeV CoM energy 553 e^+e^- collider in the LHC tunnel. For an *ep* collider design, the 554 SPS beam can be used as the drive beam to accelerate an elec-555 tron beam up to ~ $100 \, GeV$. The CoM energy in this case is 556 1.67 TeV, which is greater than that of the current LHeC design. 557 It is worth noting that although the luminosity is not as high as 558 that of the ILC, CLIC or the LHeC (about two to three orders 559 magnitude lower), there are still many interesting physics which 560 can be addressed by using very high energy but low luminos-561 ity e^+e^- collider or e_p collider, such as classicalization in elec-562 troweak processes, study of QCD and beyond standard model 563 physics and study of source of high energy cosmic rays, etc 564 [27]. For a TeV linear collider design, phase slippage between 565 the proton beam and electron (positron) beam may become a 566 limiting factor for $\sim km$ plasma accelerator. 567

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